## SEARCH REQUEST FORM

## Scientific and Technical Information Center

Requester's Full Name: Callie Sho Sho Examiner #: 75636 Date: 1/31 (03  Art Unit: 1714 Phone Number 305-0205 Serial Number: Oal 800, 457  Mail Box and Bidg/Room Location: CR3-5021 Results Format Preferred (circle): PAPER DISK E-MAIL
Requester's Full Name: allie sho sho Examiner Col 800, 451
Art Unit: 1714 Phone Number 30 5 000 Results Format Preferred (circle): PAPER DISK E-MAILS
Mail Dox and 21-8
+++++*********************************
Please provide a detailed statement of the search topic, and described by the search topic by the sea
Lettility of the invention. Define any terms that they have a start in the invention. Define any terms that they have a start a copy of the cover sheet, pertinent claims, and abstract:
Printing Toks
Title of Invention: Printing Inks Inventors (please provide full names): Dean Thetford, (reoffrey Richard Rothwell)
Inventors (please provide full names):
Earliest Priority Filing Date: 2499
*For Sequence Searches Only* Please include all pertinent information (parent, child, divisional, or issued patent numbers) along with the
*For Sequence Searches Only* Please include all pertinent information (parent, child, alvisional, or issue parents)  Can you please find the polymer (function)  Can you please find the polymer (function)  as dispersant) of formula I? It is made by  as dispersant) of formula I? It is made by
Cis & the solymer Choulder
Can you please truit
Jan 12 It is made I
( spersant) of tormain
as a so claims StT.
the process found in opening claims 3+4.
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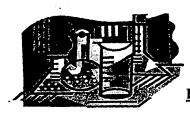
# Search Results Feedback Form (Optional)



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Kathleen Fuller, Team Leader, 308-4290, CP3/4 3D62

oluntary/	y Results Feedback Form	which home
> I am	an examiner in Workgroup: Example: 1713	]
> Rele	vant prior art found, search results used as follows:	
	102 rejection	
	103 rejection	
	Cited as being of interest.	
	Helped examiner better understand the invention.	· • · ·
	Helped examiner better understand the state of the art in	n their technology.
Ty	ypes of relevant prior art found:	
	Foreign Patent(s)	
	Non-Patent Literature (journal articles, conference proceedings, new product	announcements etc.)
> Rela	evant prior art not found:	
	Results verified the lack of relevant prior art (helped de	etermine patentability).
	Search results were not useful in determining patentabi	ility or understanding the invention
Other Con	mments:	



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## Search Results Feedback Form

The search results generated for your recent request are attached. If you have any questions or comments (compliments or complaints) about the scope or the results of the search, please contact the searcher whose name is circled below.

Kathleen Fuller 308-4290

John Calve 308-4139

Barba Koroma 305-3542

Eric Linnell 308-4143

All searchers are located in the library in CP3/4 3D62

=> file regf
'REGF' IS NOT A VALID FILE NAME
SESSION CONTINUES IN FILE 'CAPLUS'
Enter "HELP FILE NAMES" at an arrow prompt (=>) for a list of files
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Property values tagged with IC are from the  ${\tt ZIC/VINITI}$  data file provided by  ${\tt InfoChem.}$ 

STRUCTURE FILE UPDATES: 2 FEB 2003 HIGHEST RN 484639-64-7 DICTIONARY FILE UPDATES: 2 FEB 2003 HIGHEST RN 484639-64-7

TSCA INFORMATION NOW CURRENT THROUGH MAY 20, 2002

Please note that search-term pricing does apply when conducting SmartSELECT searches.

Crossover limits have been increased. See HELP CROSSOVER for details.

Experimental and calculated property data are now available. See HELP PROPERTIES for more information. See STNote 27, Searching Properties in the CAS Registry File, for complete details: http://www.cas.org/ONLINE/STN/STNOTES/stnotes27.pdf

=> file caplus FILE 'CAPLUS' ENTERED AT 15:43:54 ON 03 FEB 2003 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2003 AMERICAN CHEMICAL SOCIETY (ACS)

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FILE COVERS 1907 - 3 Feb 2003 VOL 138 ISS 6 FILE LAST UPDATED: 2 Feb 2003 (20030202/ED)

This file contains CAS Registry Numbers for easy and accurate substance identification.

#### Page 2shosho457

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1 SEA FILE=REGISTRY ABB=ON PLU=ON 143-28-2/RN
                 1 SEA FILE=REGISTRY ABB=ON PLU=ON 27924-99-8/RN
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                 1 SEA FILE=REGISTRY ABB=ON PLU=ON 9002-98-6/RN
                9 SEA FILE=CAPLUS ABB=ON PLU=ON L25 AND (L26 OR L29)
1 SEA FILE=CAPLUS ABB=ON PLU=ON L27 AND L28 AND (L26 OR L29)
24 SEA FILE=CAPLUS ABB=ON PLU=ON L25 AND INK?
L28
L29
L30
                18 SEA FILE=CAPLUS ABB=ON PLU=ON L32 AND (?AMINE? OR ?IMINE?)
L31
L32
                20 SEA FILE=CAPLUS ABB=ON PLU=ON L31 OR L30 OR L35
L35
L36
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## => d ibib abs hitstr ind total

L36 ANSWER 1 OF 20 CAPLUS COPYRIGHT 2003 ACS 2002:768022 CAPLUS ACCESSION NUMBER: 137:280807

DOCUMENT NUMBER:

Dispersants for jet printing inks TITLE:

Mizuno, Shinichiro; Ikegami, Fumiko; Fujimatsu, INVENTOR(S):

Shinya; Haraguchi, Kazumichi Toyo Ink Mfg. Co., Ltd., Japan Jpn. Kokai Tokkyo Koho, 7 pp. PATENT ASSIGNEE(S):

SOURCE: CODEN: JKXXAF

Patent DOCUMENT TYPE: Japanese LANGUAGE:

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

APPLICATION NO. DATE KIND DATE -----PATENT NO. 20010402 JP 2001-102775 A2 20021009 20010402 JP 2002294121. JP 2001-102775 Precondensates of aliph. hydroxycarboxylic acids optionally contg. aliph. PRIORITY APPLN. INFO.: carboxylic acids are treated with polyethylenimine to acid no. <8 mg kOH/g to prep. dispersing agents. Thus, an ink contained a reaction product of 400 g PHF 33 [poly(12-hydroxystearic acid)] with 100 g Epomin SP 018 28, Lionogen Red YF 70, a low-viscosity liq. paraffin 600, and dioctyl sebacate 302 g. 9002-98-6DP, Epomin SP 018, reaction products with poly(hydroxystearic acid) and lauric acid ΙT RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP

(Preparation); USES (Uses) (Epomin SP 018; polyester-polyethylenimine reaction products as dispersants for jet printing inks)

9002-98-6 CAPLUS

Aziridine, homopolymer (9CI) (CA INDEX NAME) RNCN

> 1 CM

CRN 151-56-4 CMF C2 H5 N



27941-02-2DP, reaction products with lauric acid and ΙT RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP

```
(Preparation); USES (Uses)
        (polyester-polyethylenimine reaction products as dispersants
        for jet printing inks)
    Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME)
RN
CN
          (CH<sub>2</sub>)5-Me
        O-CH-(CH_2)10-C-
     ICM C09D011-00
     ICS B41J002-01; B41M005-00; C08G073-04
IC
     42-12 (Coatings, Inks, and Related Products)
     polyhydroxystearic acid polyethylenimine reaction product
CC
     dispersant ink; jet printing ink dispersing agent
ST
      RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP
      Carboxylic acids, uses
IT
      (Preparation); USES (Uses)
         (hydroxy, condensates, reaction products with polyethylenimine
         ; polyester-polyethylenimine reaction products as dispersants
         for jet printing inks)
         (jet-printing; polyester-polyethylenimine reaction products
 TT
         as dispersants for jet printing inks)
      Dispersing agents
 IT
          (polyester-polyethylenimine reaction products as dispersants
      Pigments, nonbiological
          for jet printing inks)
       RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP
  IT
       (Preparation); USES (Uses)
          (reaction products with hydroxycarboxylic acid condensates and
          polyethylenimine; polyester-polyethylenimine reaction
          products as dispersants for jet printing inks)
       RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP
  ΙT
       (Preparation); USES (Uses)
          (reaction products with polyethylenimine; polyester-
          polyethylenimine reaction products as dispersants for jet
       9002-98-6DP, Epomin SP 018, reaction products with
          printing inks)
       poly(hydroxystearic acid) and lauric acid
  IT
        RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP
        (Preparation); USES (Uses)
           (Epomin SP 018; polyester-polyethylenimine reaction products
           as dispersants for jet printing inks)
        27924-99-8DP, Poly(12-hydroxystearic acid), reaction products with lauric
   TT
        RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP
        (Preparation); USES (Uses)
           (PHF 33; polyester-polyethylenimine reaction products as
           dispersants for jet printing inks)
        143-07-7DP, Lauric acid, reaction products with polyethyleneimine
        and poly(hydroxystearic acid) 27941-02-2DP, reaction products
   TΤ
        with lauric acid and polyethylenimine
        Aziridine-12-hydroxystearic acid block copolymer
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RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP
    (Preparation); USES (Uses)
       (polyester-polyethylenimine reaction products as dispersants
       for jet printing inks)
    185070-13-7, Lionogen Red YF
    RL: TEM (Technical or engineered material use); USES (Uses)
TT
       (polyester-polyethylenimine reaction products as dispersants
       for jet printing inks)
L36 ANSWER 2 OF 20 CAPLUS COPYRIGHT 2003 ACS
                        2001:228774 CAPLUS
ACCESSION NUMBER:
                        Dispersants prepared from reaction of polyesters with
DOCUMENT NUMBER:
                        preformed polyimines or polyamines
TITLE:
                        Thetford, Dean; Maxwell, Ian Donald; Slater, Lindsay
INVENTOR(S):
                        Anne
                        Avecia Limited, UK
PATENT ASSIGNEE(S):
                        PCT Int. Appl., 23 pp.
SOURCE:
                        CODEN: PIXXD2
                        Patent
DOCUMENT TYPE:
                        English
 LANGUAGE:
 FAMILY ACC. NUM. COUNT:
 PATENT INFORMATION:
                                         APPLICATION NO. DATE
                    KIND DATE
                                         _____
     PATENT NO.
      -----
                                       WO 2000-GB3335 20000831
         A1 20010329
      WO 2001021298
             HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU,
          BR 2000-13980
                            20020507
                                                         20000831
                      Α
      BR 2000013980
                                         EP 2000-956686
              AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
      EP 1224028
              IE, SI, LT, LV, FI, RO, MK, CY, AL
                                                        A 19990918
                                        GB 1999-22039
                                        WO 2000-GB3335 W 20000831
  PRIORITY APPLN. INFO.:
       A dispersant comprises a polyamine [e.g., poly(allyl
       amine)] or a polyimine (e.g., polyethyleneimine
       ) backbone chain contg. side chains of two or more different polyester
       chains, in which at least one of which is derived from a
       hydroxy-C1-6-alkylcarboxylic acid or lactone (e.g., .epsilon.-caprolactone
       or .delta.-valerolactone, or alkyl derivs.), and the other polyester chain
       is derived from a hydroxy-C8-30-alkylcarboxylic acid (e.g.,
       12-hydroxystearic acid) or hydroxy-C8-30-alkenecarboxylic acid (e.g.,
       ricinoleic acid) or lactone. The dispersant is typically prepd. by
       reaction of the polyesters with the preformed polyamine or
       polyimine (no. av. mol. wt. 500-600,000), which results in the two
       types of polymers being attached by salt and amide linkages. The
       dispersants are esp. suitable for dispersing pigments, a particulate
       solid, and a film-forming resin into an org. medium, and esp. suitable for
       prepn. of millbases, paints, and printing inks.
        9002-98-6DP, Aziridine homopolymer, reaction products with
        polyesters 27941-02-2DP, Poly[oxy(1-hexy1-12-oxo-1,12-
   TΤ
```

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dodecanediyl)], reaction products with polyethylenimine
    RL: NUU (Other use, unclassified); RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent); USES (Uses)
        (dispersants; dispersants prepd. from reaction of polyesters with
        preformed polyimines or polyamines)
     9002-98-6 CAPLUS
Aziridine, homopolymer (9CI) (CA INDEX NAME)
RN
CN
     CM
          1
     CRN 151-56-4
     CMF C2 H5 N
     Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME)
RN
CN
         ICM B01F017-00
 IC
      48-11 (Unit Operations and Processes)
      Section cross-reference(s): 38, 42
      polyester polyamine polyimine dispersant;
      hydroxycarboxylic acid polyester polyimine dispersant;
      ricinoleic acid polyester polyimine dispersant; caprolactone
      polyester polyimine dispersant; valerolactone polyester
      polyimine dispersant; paint polyester polyimine
       dispersant; printing ink polyester polyimine
       dispersant
       RL: NUU (Other use, unclassified); RCT (Reactant); SPN (Synthetic
  ΙT
       preparation); PREP (Preparation); RACT (Reactant or reagent); USES (Uses)
          (caprolactone-based, reaction products with polyamines and
          polyimines; dispersants prepd. from reaction of polyesters with
          preformed polyimines or polyamines)
       Dispersing agents
  TΤ
           (dispersants prepd. from reaction of polyesters with preformed
        Paints
           polyimines or polyamines)
           (dispersion of; dispersants prepd. from reaction of polyesters with
        Pigments, nonbiological
   IT
           preformed polyimines or polyamines)
        RL: NUU (Other use, unclassified); RCT (Reactant); SPN (Synthetic
   TΤ
        preparation); PREP (Preparation); RACT (Reactant or reagent); USES (Uses)
           (hydroxycarboxylic acid-based, reaction products with
           polyamines and polyimines; dispersants prepd. from
           reaction of polyesters with preformed polyimines or
           polyamines)
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RL: NUU (Other use, unclassified); RCT (Reactant); SPN (Synthetic
IT
    preparation); PREP (Preparation); RACT (Reactant or reagent); USES (Uses)
        (lactone-based, reaction products with polyamines and
        polyimines; dispersants prepd. from reaction of polyesters with
        preformed polyimines or polyamines)
        (printing; dispersants prepd. from reaction of polyesters with
IT
     Inks
        preformed polyimines or polyamines)
     RL: NUU (Other use, unclassified); RCT (Reactant); SPN (Synthetic
IT
     preparation); PREP (Preparation); RACT (Reactant or reagent); USES (Uses)
        (reaction products with polyesters; dispersants prepd. from reaction of
        polyesters with preformed polyimines or polyamines)
     RL: NUU (Other use, unclassified); RCT (Reactant); SPN (Synthetic
ΙT
     preparation); PREP (Preparation); RACT (Reactant or reagent); USES (Uses)
         (reaction products, with polyamines and polyimines;
         dispersants prepd. from reaction of polyesters with preformed
         polyimines or polyamines)
      1309-37-1, Bayferrox Red 130M, miscellaneous
 ΙT
         (Bayferrox Red 130M, dispersion of, in paints; dispersants prepd. from
      RL: MSC (Miscellaneous)
         reaction of polyesters with preformed polyimines or
      9002-98-6DP, Aziridine homopolymer, reaction products with
                   24980-41-4DP, Poly(.epsilon.-caprolactone), reaction products
 ΙT
                              25248-42-4DP, Poly[oxy(1-oxo-1,6-
      polyesters
      with polyethylenimine
      hexanediyl)], reaction products with polyethylenimine
      27924-99-8DP, Poly(12-hydroxystearic acid), reaction products with
                         27925-02-6DP, Poly(ricinoleic acid), reaction
      polyethylenimine
      products with polyethylenimine 27941-02-2DP,
      Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)], reaction products with
                         27941-05-5P, Ricinoleic acid homopolymer, sru
      polyethylenimine
       30551-89-4DP, Poly(allyl amine), reaction products with
                    58070-96-5DP, 12-Hydroxystearic acid homopolymer, sru,
      monooctadecanoate, reaction products with polyethylenimine
       58128-22-6DP, 12-Hydroxystearic acid homopolymer, octadecanoate, reaction
                                        101902-92-5DP, reaction
       products with polyethylenimine
                                        161857-77-8DP, reaction
       products with polyethylenimine
                                        207806-62-0DP, 2-Oxepanone,
       products with polyethylenimine
       polymer with tetrahydro-2H-pyran-2-one, monododecanoate, reaction products
       with polyethylenimine 207806-68-6DP, 2-Oxepanone, 5-methyl-,
       polymer with 2-oxepanone, mono(methoxyacetate), reaction products with
                         245651-08-5DP, Acetic acid, hydroxy-, polymer
       polyethylenimine
       with 2-oxepanone, monododecanoate, reaction products with
                         249534-73-4DP, 2-Oxepanone, polymer with
       tetrahydro-2H-pyran-2-one, 2-[(1-oxo-2-propenyl)oxy]ethyl ester, reaction
                                         331463-32-2DP, reaction
       products with polyethylenimine
                                         331463-33-3DP, reaction
       products with polyethylenimine
       products with polyethylenimine
        RL: NUU (Other use, unclassified); RCT (Reactant); SPN (Synthetic
        preparation); PREP (Preparation); RACT (Reactant or reagent); USES (Uses)
           (dispersants; dispersants prepd. from reaction of polyesters with
           preformed polyimines or polyamines)
                                   84540-57-8, Methoxypropyl acetate
        28211-77-0, Laropal A 81
   IT
           (paints contg.; dispersants prepd. from reaction of polyesters with
        RL: MSC (Miscellaneous)
           preformed polyimines or polyamines)
```

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L36 ANSWER 3 OF 20 CAPLUS COPYRIGHT 2003 ACS
                             2000:553659 CAPLUS
ACCESSION NUMBER:
                             133:152127
                             Nonaqueous printing inks for drop-on-demand
DOCUMENT NUMBER:
TITLE:
                             ink-jet printers
                             Rothwell, Geoffrey Richard; Thetford, Dean
INVENTOR(S):
                              Avecia Limited, UK
PATENT ASSIGNEE(S):
                             PCT Int. Appl., 18 pp.
SOURCE:
                              CODEN: PIXXD2
                              Patent
DOCUMENT TYPE:
                              English
LANGUAGE:
FAMILY ACC. NUM. COUNT:
PATENT INFORMATION:
                                                 APPLICATION NO. DATE
                      KIND DATE
                                                   -----
       PATENT NO.
                                  20000810 WO 2000-GB60 20000112
                                  _____
       -----
           W: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, AM, AZ, BV, KG, KZ, MD, BH, TT, TM
       WO 2000046313
            RW: GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
                 AZ, BY, KG, KZ, MD, RU, TJ, TM
                            A1 20011205 EP 2000-900261
                 AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
        EP 1159358
                 IE, FI
                                                    JP 2000-597376
                                                                         20000112
                                   20021029
                                                 GB 1999-2386 A 19990204
                             T2
        JP 2002536488
  PRIORITY APPLN. INFO.:
                                                                    W 20000112
                                                 WO 2000-GB60
        The drop-on-demand ink-jet printing ink comprises a
        pigment, a nonaq. medium and a dispersant [T(OAOCO)n]pZ (T = H, polymn.
  AB
        terminating group; A = linear C8-20 alkylene; Z = residue of a
        polyamine or polyimine; n = 2-10; p .gtoreq.2). Thus, a
        ink compn. comprised Regal 250R (carbon black) 5.00, 50% soln. of
        7/1 poly(12-hydroxystearic acid) and polyethyleneimine in Lytol
         1.37, Solsperse 5000 (quaternary ammonium salt of sulfonated copper
         phthalocyanine) 0.09 and Lytol (hydrocarbon solvent) 3.54 parts.
         9002-98-6 26913-06-4, Poly[imino(1,2-ethanediyl)]
         27924-99-8, Poly(12-hydroxystearic acid) 27941-02-2
   ΙΤ
         RL: TEM (Technical or engineered material use); USES (Uses)
             (dispersant; nonaq. printing inks for drop-on-demand
             ink-jet printers)
         9002-98-6 CAPLUS
         Aziridine, homopolymer (9CI) (CA INDEX NAME)
   RN
   CN
               1
         CM
         CRN 151-56-4
          CMF C2 H5 N
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H N \_\_\_

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Page 8shosho457
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26913-06-4 CAPLUS Poly[imino(1,2-ethanediyl)] (9CI) (CA INDEX NAME) RN CN ---- CH<sub>2</sub>-CH<sub>2</sub>-NH-----  $\rceil$  n Octadecanoic acid, 12-hydroxy-, homopolymer (9CI) (CA INDEX NAME) RN CN 1 CMCRN 106-14-9 CMF C18 H36 O3 OH Me- (CH<sub>2</sub>)<sub>5</sub>-CH- (CH<sub>2</sub>)<sub>10</sub>-CO<sub>2</sub>H Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME) 27941-02-2 CAPLUS CN RL: TEM (Technical or engineered material use); USES (Uses) 143-28-2, Oleyl alcohol ΙT (solvent; nonaq. printing inks for drop-on-demand ink -jet printers) 9-Octadecen-1-ol, (9Z)- (9CI) (CA INDEX NAME) 143-28-2 CAPLUS RN CN Double bond geometry as shown. (CH<sub>2</sub>)7 Z (CH<sub>2</sub>)8 OH Me ICM C09D011-00 42-12 (Coatings, Inks, and Related Products) IC jet printing ink nonaq; polyhydroxystearic acid CC polyethyleneimine dispersant printing ink; polyester polyimine dispersant printing ink RL: TEM (Technical or engineered material use); USES (Uses) Hydrocarbons, uses TT (arom. or aliph., solvent; nonaq. printing inks for drop-on-demand ink-jet printers) Polyamines ΙT RL: TEM (Technical or engineered material use); USES (Uses) (dispersing agents; nonaq. printing inks for drop-on-demand

```
ink-jet printers)
     RL: TEM (Technical or engineered material use); USES (Uses)
IT
         (fatty, aliph., solvent; nonaq. printing inks for
         drop-on-demand ink-jet printers)
         (jet-printing; nonaq. printing inks for drop-on-demand
ΙT
         ink-jet printers)
      Dispersing agents
          (nonaq. printing inks for drop-on-demand ink-jet
IT
          printers)
      RL: TEM (Technical or engineered material use); USES (Uses)
      Phenolic resins, uses
ΙT
          (novolak, alkyl substituted; nonaq. printing inks for
          drop-on-demand ink-jet printers)
      9002-98-6 26913-06-4, Poly[imino(1,2-ethanediyl)]
      27924-99-8, Poly(12-hydroxystearic acid) 27941-02-2
TT
       RL: TEM (Technical or engineered material use); USES (Uses)
          (dispersant; nonaq. printing inks for drop-on-demand
          ink-jet printers)
       190606-44-1, Uravar FN 5
       RL: TEM (Technical or engineered material use); USES (Uses)
 ΙT
           (nonaq. printing inks for drop-on-demand ink-jet
           printers)
                                      102577-12-8, Lytol
       143-28-2, Oleyl alcohol
       RL: TEM (Technical or engineered material use); USES (Uses)
 TΤ
           (solvent; nonaq. printing inks for drop-on-demand ink
                                      THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS
           -jet printers)
                                      RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
                               4
  REFERENCE COUNT:
  L36 ANSWER 4 OF 20 CAPLUS COPYRIGHT 2003 ACS
                                2000:290901 CAPLUS
  ACCESSION NUMBER:
                                132:309760
                               Dispersants, compositions and uses
  DOCUMENT NUMBER:
  TITLE:
                                Thetford, Dean
  INVENTOR(S):
                                Avecia Limited, UK
  PATENT ASSIGNEE(S):
                                PCT Int. Appl., 20 pp.
  SOURCE:
                                CODEN: PIXXD2
                                Patent
  DOCUMENT TYPE:
                                English
  LANGUAGE:
  FAMILY ACC. NUM. COUNT:
  PATENT INFORMATION:
                                                    APPLICATION NO. DATE
                           KIND DATE
         PATENT NO.
                                                     _____
                                    -----
         -----
                                               WO 1999-GB2903 19990902
                  AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ,
                            A1 20000504
         WO 2000024503
                  AE, AI, AI, AO, AZ, BA, BB, BG, BR, BI, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MN, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM
              RW: GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG
                                                     AU 1999-56389
                                                   GB 1998-23223 A 19981024
GB 1999-2346 A 19990203
                                     20000515
                              A1
          AU 9956389
    PRIORITY APPLN. INFO.:
                                                   GB 1999-2346
```

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WO 1999-GB2903 W 19990902
    The dispersants [T(OACO)n]pZ [A = C8-20 linear alkylene or alkenylene; T =
    H, polymn. terminating group; Z = \text{residue of a polyamine or polyimine} preferably with av. mol. wt. 500-600,000; n = 2-10; p
AB
     .gtoreq.2; the wt. ratio of (T(OACO)n)p to Z is from 5:1 to 20:1] are
     particularly useful for dispersing particulate solids in org. media. The
     dispersants are useful in paints, printing inks, multimedia
     tinters, etc. Thus, heating 55 parts poly(12-hydroxystearic acid) with 11
     parts polyethyleneimine (mo. wt. 10,000) gave a brown viscous
     liq. showing good pigment dispersing property in hydrocarbon solvent.
     9002-98-6DP, reaction products with poly(hydroxystearic acid)
     27941-02-2DP, Poly(12-hydroxystearic acid), sru, reaction products
TT
     RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PRP
     (Properties); PREP (Preparation); USES (Uses)
        (reaction products of poly(hydroxystearic acid) and
        polyethyleneimine as dispersants for pigments)
      9002-98-6 CAPLUS
     Aziridine, homopolymer (9CI) (CA INDEX NAME)
RN
CN
      CM
      CRN 151-56-4
      CMF C2 H5 N
     Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME)
 RN
      ICM B01F017-00
       42-5 (Coatings, Inks, and Related Products)
  CC
       Section cross-reference(s): 37
       pigment dispersing agent paint; polyamine polyester reaction
       product dispersant; polyimine polyester reaction product
  ST
       dispersant
       RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PRP
  ΙT
        (Properties); PREP (Preparation); USES (Uses)
           (polyimines, reaction products with polyesters; reaction
          products of polyesters and polyimines as dispersants for
           paints and printing inks)
           (printing; reaction products of poly(hydroxystearic acid) and
  TT
           polyethyleneimine as dispersants for pigments)
        Paints
   TT
        Pigments, nonbiological
           (reaction products of poly(hydroxystearic acid) and
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polyethyleneimine as dispersants for pigments)
ΙT
     Polyamines
     RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PRP
     (Properties); PREP (Preparation); USES (Uses)
        (reaction products, with polyesters; reaction products of polyesters
        and polyamines as dispersants for paints and printing
        inks)
ΙT
     Polyesters, uses
     RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PRP
     (Properties); PREP (Preparation); USES (Uses)
        (reaction products, with polyimines or polyamines;
        reaction products of poly(hydroxystearic acid) and
        polyethyleneimine as dispersants for pigments)
     9002-98-6DP, reaction products with poly(hydroxystearic acid)
     27924-99-8DP, Poly(12-hydroxystearic acid), reaction products with
     polyethyleneimine 27941-02-2DP, Poly(12-hydroxystearic
     acid), sru, reaction products with polyethyleneimine
     RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PRP (Properties); PREP (Preparation); USES (Uses)
        (reaction products of poly(hydroxystearic acid) and
        polyethyleneimine as dispersants for pigments)
                        3
                              THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS
REFERENCE COUNT:
                               RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
L36 ANSWER 5 OF 20 CAPLUS COPYRIGHT 2003 ACS
ACCESSION NUMBER:
                        1999:48441 CAPLUS
DOCUMENT NUMBER:
                         130:155115
                         Pigment dispersion and ink composition for
TITLE:
                        offset printing made from the same
                        Kinoshita, Hidenoro; Iwase, Takashi; Sato, Akihisa
INVENTOR(S):
                        Sakata Inx Corp., Japan
PATENT ASSIGNEE(S):
SOURCE:
                         Jpn. Kokai Tokkyo Koho, 12 pp.
                        CODEN: JKXXAF
DOCUMENT TYPE:
                         Patent
LANGUAGE:
                         Japanese
FAMILY ACC. NUM. COUNT:
PATENT INFORMATION:
     PATENT NO.
                    KIND DATE
                                        APPLICATION NO. DATE
                                         -----
     _____
     JP 11012528 A2 19990119 JP 1997-166365 19970623
                                       JP 1997-166365 19970623
PRIORITY APPLN. INFO.:
     The compn. comprises a pigment, an arom. and a basic and/or an acid
     group-contg. auxiliary dispersing agent, a polymer for dispersion the
     auxiliary dispersing agent, a solvent and a binder, wherein the auxiliary
     dispersing agent is made from mainly a monomer having arom. ring, a
     monomer having acid group and other monomers. Thus, an auxiliary was
     prepd. by the polymn. of 166.6 parts styrene and 34.4 parts methacrylic
     acid in the presence of AIBN and condensation reaction in stearyl alc. and
     xylene mixt. in the presence of tetra-Bu titanate at 150-160.degree. to
     gave an agent having wt. av. mol. wt. 4400 and acid value 32.
     27941-02-2DP, 12-Hydroxystearic acid homopolymer, sru, aminated
     RL: MOA (Modifier or additive use); SPN (Synthetic preparation); TEM
     (Technical or engineered material use); PREP (Preparation); USES (Uses)
        (pigment dispersion and ink compn. for offset printing made
        from the same)
RN
     27941-02-2 CAPLUS
     Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME)
CN
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```
(CH<sub>2</sub>)5-Me
O-CH-(CH<sub>2</sub>)<sub>10</sub>-C-
```

IC ICM C09D017-00

ICS C09D011-10; C08F212-08; C08F220-10; C08G059-14

CC 42-12 (Coatings, Inks, and Related Products)

ink pigment auxiliary dispersant styrene copolymer; methacrylic acid copolymer pigment dispersant; offset printing ink dispersion aid

TT Inks

> (lithog.; pigment dispersion and ink compn. for offset printing made from the same)

Dispersing agents TT Polymerization

> (pigment dispersion and ink compn. for offset printing made from the same)

Acrylic polymers, uses

RL: MOA (Modifier or additive use); SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses) (pigment dispersion and ink compn. for offset printing made from the same)

9010-92-8DP, Methacrylic acid-styrene copolymer, reaction product with stearyl alc. 9011-13-6DP, Maleic anhydride-styrene copolymer, reaction product with stearyl alc. 25167-42-4DP, Glycidyl methacrylate-styrene copolymer, reaction product with stearic acid 25167-42-4DP, Glycidyl methacrylate-styrene copolymer, reaction product with stearylamine 25167-42-4P, Glycidyl methacrylate-styrene copolymer 26010-51-5DP, Hydroxyethyl methacrylate-styrene copolymer, reaction product with succinic anhydride 27924-99-8DP, 12-Hydroxystearic acid homopolymer, reaction product with succinic anhydride 27941-02-2DP, 12-Hydroxystearic acid homopolymer, sru, aminated 27941-02-2DP, 12-Hydroxystearic acid homopolymer, sru, reaction product with succinic anhydride 29564-58-7DP, Glycidyl methacrylate-methyl methacrylate-styrene copolymer, reaction product with stearyl alc. 66251-30-7DP, Glycidyl methacrylate-vinyl toluene copolymer, reaction product with succinic anhydride 137000-03-4P, 2-Dimethylaminoethyl methacrylate-hydroxyethyl methacrylate-styrene copolymer RL: MOA (Modifier or additive use); SPN (Synthetic preparation); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses) (pigment dispersion and ink compn. for offset printing made

from the same)

L36 ANSWER 6 OF 20 CAPLUS COPYRIGHT 2003 ACS ACCESSION NUMBER: 1998:816629 CAPLUS

130:96998 DOCUMENT NUMBER:

Pigment dispersions containing modified novolak TITLE:

dispersing aids and offset printing ink

compositions using them

Kinoshita, Hidenoro; Iwase, Takashi; Sato, Akihisa INVENTOR(S):

PATENT ASSIGNEE(S): Sakata Inx Corp., Japan Jpn. Kokai Tokkyo Koho, 9 pp. SOURCE:

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT:

#### PATENT INFORMATION:

APPLICATION NO. DATE KIND DATE \_\_\_\_\_ PATENT NO. \_\_\_\_\_ JP 1997-152561 19970610 JP 1997-152561 19970610 JP 10338835 A2 19981222 The compns. contain (A) 100 parts pigments, (B) .gtoreq.0.5 part modified PRIORITY APPLN. INFO.: novolak resins having basic or acidic groups as dispersing aids, (C) .gtoreq.0.5 part pigment-dispersing polymers having salt-formable acidic or basic groups with the dispersing aids, (D) solvents, and optionally (E) binder polymers provided that B + C = 1-51 parts. An ink compn. contg. Cu phthalocyanine 40, stearic acid- and succinic anhydride-treated YDPN 638 2, amino-terminated poly(12-hydroxystearic acid) 4, Tespol 1355 48, and a solvent 6 parts showed viscosity 230 Pa-s, yield value 38 Pa, and good storage stability. 27941-02-2DP, 12-Hydroxystearic acid homopolymer sru, reaction products with epoxy-modified novolaks and amines RL: IMF (Industrial manufacture); PRP (Properties); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses) (offset printing ink compns. using modified novolak dispersing aids) Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME) RN CN

$$\begin{bmatrix} (CH_2)5 - Me & O \\ | & | \\ -----O - CH - (CH_2)_{10} - C ----- \end{bmatrix}_n$$

RL: IMF (Industrial manufacture); PRP (Properties); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses) (pigment-dispersing polymer; offset printing ink compns. using modified novolak dispersing aids

ICM C09D017-00 IC ICS C09D011-02

42-12 (Coatings, Inks, and Related Products)

offset printing ink pigment dispersion; dispersing aid modified CC novolak salt; carboxy novolak amino terminated pigment dispersant; phenol ST novolak epoxy carboxylate pigment dispersant

Inks ΙT

(lithog.; offset printing ink compns. using modified novolak dispersing aids)

TΤ

RL: IMF (Industrial manufacture); PRP (Properties); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(novolak epoxy resin-modified, pigment-dispersing polymer; offset printing ink compns. using modified novolak dispersing aids)

Pigments, nonbiological IT

(offset printing ink compns. using modified novolak dispersing aids)

IT

RL: IMF (Industrial manufacture); PRP (Properties); TEM (Technical or Epoxy resins, uses engineered material use); PREP (Preparation); USES (Uses)

(phenolic, novolak, modified with acidic or basic groups; offset printing ink compns. using modified novolak dispersing aids)

RL: TEM (Technical or engineered material use); USES (Uses)

```
(pigment; offset printing ink compns. using modified novolak
       dispersing aids)
    RL: PRP (Properties); TEM (Technical or engineered material use); USES
TΤ
        (rosin-modified; offset printing ink compns. using modified
     (Uses)
     57-11-4DP, Stearic acid, reaction products with epoxy-modified novolaks
       novolak dispersing aids)
     100-21-0DP, Terephthalic acid, esters with epoxy-modified novolaks
ΙT
     106-14-9DP, 12-Hydroxystearic acid, reaction products with epoxy-modified
              108-30-5DP, Succinic anhydride, reaction products with
     epoxy-modified novolaks 124-30-1DP, Stearylamine, reaction
     products with epoxy-modified novolaks and polyesters 27924-99-8DP,
     12-Hydroxystearic acid homopolymer, reaction products with epoxy-modified
     novolaks, carboxylic acids and amines 27941-02-2DP,
     12-Hydroxystearic acid homopolymer sru, reaction products with
     epoxy-modified novolaks and amines 105478-35-1DP, Epo Tohto
     YDPN 638, reaction products with carboxyic acids and amines or
     RL: IMF (Industrial manufacture); PRP (Properties); TEM (Technical or
     engineered material use); PREP (Preparation); USES (Uses)
         (offset printing ink compns. using modified novolak
         dispersing aids)
     192828-15-2, Tespol 1355
      RL: POF (Polymer in formulation); PRP (Properties); TEM (Technical or
 ΙT
      engineered material use); USES (Uses)
         (offset printing ink compns. using modified novolak
      27924-99-8DP, 12-Hydroxystearic acid homopolymer, amino- or
      carboxy-terminated 27941-02-2DP, 12-Hydroxystearic acid
 ΤТ
      homopolymer, sru, amino- or carboxy-terminated
      RL: IMF (Industrial manufacture); PRP (Properties); TEM (Technical or
      engineered material use); PREP (Preparation); USES (Uses)
         (pigment-dispersing polymer; offset printing ink compns.
         using modified novolak dispersing aids)
      147-14-8, Copper phthalocyanine 5102-83-0, Disazo Yellow
 TΤ
      RL: PRP (Properties); TEM (Technical or engineered material use); USES
          (pigment; offset printing ink compns. using modified novolak
       (Uses)
          dispersing aids)
  L36 ANSWER 7 OF 20 CAPLUS COPYRIGHT 2003 ACS
  ACCESSION NUMBER: 1997:515447 CAPLUS
                           Polymeric dispersants, pigment dispersions and offset
  DOCUMENT NUMBER:
                           printing ink compositions
  TITLE:
                           Iwase, Koji; Kinoshita, Hideki; Sato, Teruhisa;
  INVENTOR(S):
                           Ishikawa, Hiroyuki
                           Sakata Inx Corporation, Japan
  PATENT ASSIGNEE(S):
                           Eur. Pat. Appl., 32 pp.
  SOURCE:
                           CODEN: EPXXDW
                           Patent
  DOCUMENT TYPE:
                           English
   LANGUAGE:
   FAMILY ACC. NUM. COUNT: 2
   PATENT INFORMATION:
                                           APPLICATION NO. DATE
                        DATE
                       KIND DATE
        PATENT NO.
```

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EP 1996-120596 19961220
    EP 781820
                   A2
                         19970702
    EP 781820
                   А3
                         19980107
    EP 781820
                    В1
                         19990908
       R: DE, ES, FR, GB
                                       JP 1996-243843 19960913
    JP 09302259
                    A2
                         19971125
                                       ES 1996-120596 19961220
    ES 2135838
                     Т3
                         19991101
                                       CA 1996-2193763 19961223
    CA 2193763
                         19970626
                    AΑ
                                     JP 1995-337383
PRIORITY APPLN. INFO.:
                                     JP 1996-54944
                                                       19960312
                                                      19960913
                                     JP 1996-243843
```

AB A pigment dispersion is claimed comprising a pigment, a pigment dispersant, and, optionally, a binder resin. The pigment dispersion comprises, as pigment dispersant(s), .gtoreq.0.2 parts of a modified novolak resin (A) and/or a graft copolymer (B) relative to 100 parts of the pigment, (A) and (B) each having an arom. ring and a ring structure given by ring opening of an epoxy group by a carboxyl group of a hydroxycarboxylic acid or their deriv. Ink compns. for offset printing contg. the pigment dispersion are also disclosed. A typical title compn. was prepd. by mixing and milling polyethylene wax and rosin-modified phenolic resin (Tespol 1355) varnish in a mixt. of linseed oil and a com. solvent (Solvent No. 5) with an ink base contg. Cu phthalocyanine pigment, reaction product of poly(12-hydroxystearic acid) with glycidyl methacrylate-styrene copolymer (prepn. given) as pigment dispersant, Tespol 1355, linseed oil and Solvent No. 5.

27941-02-2DP, 12-Hydroxystearic acid polymer, sru, reaction products with epoxy-contg. polymers RL: IMF (Industrial manufacture); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(dispersants; polymeric dispersants, pigment dispersions and offset printing ink compns.)

RN 27941-02-2 CAPLUS

CN Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME)

IC ICM C09D017-00 ICS C09D011-02

CC 42-12 (Coatings, Inks, and Related Products)
 Section cross-reference(s): 37

ST offset printing ink compn pigment dispersant; pigment dispersant modified novolak resin prepn; hydroxystearic acid polymer deriv prepn dispersant; polyhydroxystearate glycidyl methacrylate ester macromer dispersant; styrene glycidyl methacrylate copolymer pigment dispersant

IT Polyesters, uses
 RL: IMF (Industrial manufacture); TEM (Technical or engineered material
 use); PREP (Preparation); USES (Uses)

(aliph., reaction products, with glycidyl Ph ether and phenol and formalin, dispersants; polymeric dispersants, pigment dispersions and offset printing ink compns.)

IT Phenolic resins, uses

RL: IMF (Industrial manufacture); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(epoxy, reaction products, with poly(12-hydroxystearic acid), stearates, dispersants; polymeric dispersants, pigment dispersions and

offset printing ink compns.) (lithog.; pigment dispersion and offset printing ink compn. Inks ΙT contg. modified novolak resins or polyesters as pigment dispersing agents) RL: IMF (Industrial manufacture); TEM (Technical or engineered material TT use); PREP (Preparation); USES (Uses) (modified with 12-hydroxystearic acid-glycidyl Ph ether reaction products, dispersants; polymeric dispersants, pigment dispersions and offset printing ink compns.) RL: IMF (Industrial manufacture); TEM (Technical or engineered material ITuse); PREP (Preparation); USES (Uses) (novolak, reaction products, with 12-hydroxystearic acid-glycidyl Ph ether condensate, dispersants; polymeric dispersants, pigment dispersions and offset printing ink compns.) RL: IMF (Industrial manufacture); TEM (Technical or engineered material ΙT use); PREP (Preparation); USES (Uses) (phenolic, reaction products, with poly(12-hydroxystearic acid), stearates, dispersants; polymeric dispersants, pigment dispersions and offset printing ink compns.) Dispersing agents ΙT Pigments, nonbiological (pigment dispersion and offset printing ink compn. contg. modified novolak resins or polyesters as pigment dispersing agents) RL: TEM (Technical or engineered material use); USES (Uses) Linseed oil ΙT (pigment dispersion and offset printing ink compn. contg. modified novolak resins or polyesters as pigment dispersing agents) 192709-74-3P, 12-Hydroxystearic acid-Styrene copolymer RL: IMF (Industrial manufacture); TEM (Technical or engineered material ΙT use); PREP (Preparation); USES (Uses) (dispersant; polymeric dispersants, pigment dispersions and offset printing ink compns.) 57-11-4DP, Octadecanoic acid, esters with Epikote 154 and poly(hydroxystearic acid), uses 64-19-7DP, Acetic acid, esters with IT Epikote 154 and poly(hydroxystearic acid), uses 101-90-6DP, Resorcinol diglycidyl ether, reaction products with phenol novolak resin 106-14-9DP, 12-Hydroxystearic acid, reaction products with glycidyl Ph ether and phenol novolak resin 110-15-6DP, Butanedioic acid, esters with Epikote 154 and poly(hydroxystearic acid), uses products with 12-hydroxystearic acid and phenol novolak resin 124-30-1DP, 1-Octadecanamine, amides with Epikote 154 and 4223-14-7DP, reaction products with modified poly(hydroxystearic acid) phenol novolak resin 9003-35-4DP, modified with 12-hydroxystearic acid-glycidyl Ph ether reaction products 15895-57-5DP, reaction products with phenol novolak resin 25167-42-4DP, Glycidyl methacrylate-Styrene copolymer, reaction products with poly(hydroxystearic acid) 27924-99-8DP, 12-Hydroxystearic acid polymer, reaction products with glycidyl Ph ether and phenol novolak resin 27941-02-2DP, 12-Hydroxystearic acid polymer, sru, reaction products with epoxy-contg. polymers 29564-58-7DP, Glycidyl methacrylate-Methyl methacrylate-Styrene copolymer, reaction products with poly(hydroxystearic acid) 52300-37-5DP, reaction products with modified phenol novolak resin 63939-13-9DP, Epikote 154, reaction products with poly(hydroxystearic

acid), stearates 66251-30-7DP, Glycidyl methacrylate-Vinyltoluene

copolymer, reaction products with poly(hydroxystearic acid)

#### Page 17shosho457

67076-27-1DP, p-Chlorostyrene-Glycidyl methacrylate copolymer, reaction products with poly(hydroxystearic acid) 86249-19-6DP, Benzyl methacrylate-Glycidyl methacrylate copolymer, reaction products with 94290-63-8DP, 2,3-Epoxy-2-methylpropyl poly(hydroxystearic acid) methacrylate-Styrene copolymer, reaction products with poly(hydroxystearic 192709-72-1DP, Dimethylstyrene-Glycidyl methacrylate copolymer, reaction products with poly(hydroxystearic acid) 192709-73-2P, 12-Hydroxystearic acid polymer glycidyl methacrylate ester-Styrene graft copolymer RL: IMF (Industrial manufacture); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses) (dispersants; polymeric dispersants, pigment dispersions and offset printing ink compns.) 147-14-8P, Copper phthalocyanine TΤ RL: IMF (Industrial manufacture); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses) (pigment; polymeric dispersants, pigment dispersions and offset printing ink compns.) IT 192828-15-2, Tespol 1355 RL: TEM (Technical or engineered material use); USES (Uses) (varnish; polymeric dispersants, pigment dispersions and offset printing ink compns.) L36 ANSWER 8 OF 20 CAPLUS COPYRIGHT 2003 ACS ACCESSION NUMBER: 1997:508807 CAPLUS DOCUMENT NUMBER: 127:191967 Dispersants made of polyesters and polyalkylene-TITLE: polyamine-acrylonitrile adducts INVENTOR(S): Tsuboyama, Hiroshi; Aoki, Shoichi; Yasaka, Masahiro Kawaken Fine Chemicals Co., Japan; Takebu Fine PATENT ASSIGNEE(S): Chemicals K. K. Jpn. Kokai Tokkyo Koho, 7 pp. SOURCE: CODEN: JKXXAF DOCUMENT TYPE: Patent Japanese LANGUAGE: FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE JP 09192470 A2 19970729 JP 1996-10223 19960124 PRIORITY APPLN. INFO.: JP 1996-10223 19960124

GI

$$--\left\{ \begin{array}{c} \text{CH}_2\text{CH} \text{(CN)} \\ \downarrow_k \end{array} \right\} + \left\{ \begin{array}{c} \text{CH}_2 \text{)m} - \text{N} \\ \downarrow p \\ \text{CO} \text{(CH}_2) \text{ nO} + \text{CO} \text{(CH}_2) \text{ nO} \\ \downarrow_q \end{array} \right\}_q R$$

$$\begin{array}{c|c} - + \operatorname{CH_2CH}(\operatorname{CN}) \xrightarrow{\downarrow}_k & (\operatorname{CH_2}) \operatorname{m-N} \xrightarrow{\downarrow}_{\operatorname{CH_2}} \operatorname{CO}(\operatorname{CH_2}) \operatorname{n}^{1} - \operatorname{CH}(\operatorname{CH_2}) \operatorname{r-}_{\operatorname{Q1}} \\ & & & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\$$

Title dispersants, useful for coatings or inks, are made of polyester amines I (R = H, C2-24 hydroxycarboxylic acid residue; AΒ k = 1-15; m = 2-6; n = 2-11; p = 1-5; q = 2-100) or their salts. Alternatively the dispersants are made of polyester amines II (SIC; n' = 1-25; q' = 2-100; r = 0-20) or their salts. Thus, 100.5 g 141.4:300.5 .epsilon.-caprolactone-12-hydroxystearic acid copolymer and 13.0 g D 15A (polyalkylene-polyamine-acrylonitrile adduct) were reacted in N at 150.degree. for 3 h to give title dispersants, which was added to TiO2 dispersion at 5% per TiO2 and left for 120 min to show 75.2% retention of the initial dispersion.

27941-02-2DP, Poly(12-hydroxystearic acid), sru, reaction products with polyalkylene-polyamine-acrylonitrile adducts ΤТ RL: IMF (Industrial manufacture); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses) (KF 1000; dispersants from polyalkylene polyamine -acrylonitrile adducts and polyesters for inks and coatings)

Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME) RN CN

ICM B01F017-52 IC

ICS C08G063-06; C08G063-91

42-5 (Coatings, Inks, and Related Products) CC

Section cross-reference(s): 37, 48 polyalkylene polyamine acrylonitrile adduct polyester dispersant; coating ink dispersant; caprolactone hydroxystearic ST acid copolymer dispersant

Coating materials IT Dispersing agents

Inks

(dispersants from polyalkylene polyamine-acrylonitrile adducts and polyesters for inks and coatings)

```
ΙT
     Polyoxyalkylenes, uses
     Polyoxyalkylenes, uses
     Polyoxyalkylenes, uses
     RL: IMF (Industrial manufacture); TEM (Technical or engineered material
     use); PREP (Preparation); USES (Uses)
        (polyamine-polyester-; dispersants from polyalkylene
       polyamine-acrylonitrile adducts and polyesters for inks
       and coatings)
     Polyesters, uses
TΥ
     Polyesters, uses
     Polyesters, uses
     RL: IMF (Industrial manufacture); TEM (Technical or engineered material
     use); PREP (Preparation); USES (Uses)
        (polyamine-polyoxyalkylene-; dispersants from polyalkylene
       polyamine-acrylonitrile adducts and polyesters for inks
       and coatings)
    Polyamines
      Polyamines
      Polyamines
     RL: IMF (Industrial manufacture); TEM (Technical or engineered material
     use); PREP (Preparation); USES (Uses)
        (polyester-polyoxyalkylene-; dispersants from polyalkylene
       polyamine-acrylonitrile adducts and polyesters for inks
       and coatings)
     27941-02-2DP, Poly(12-hydroxystearic acid), sru, reaction products
    with polyalkylene-polyamine-acrylonitrile adducts
    RL: IMF (Industrial manufacture); TEM (Technical or engineered material
     use); PREP (Preparation); USES (Uses)
        (KF 1000; dispersants from polyalkylene polyamine
        -acrylonitrile adducts and polyesters for inks and coatings)
    106-14-9DP, 12-Hydroxystearic acid, reaction products of adducts of
     caprolactone and polyalkylene-polyamine-acrylonitrile adducts
     502-44-3DP, 2-Oxepanone, reaction products with polyalkylene-
    polyamine-acrylonitrile adducts and hydroxystearic acid
     103467-59-0DP, .epsilon.-Caprolactone-12-hydroxystearic acid copolymer,
     reaction products with polyalkylene-polyamine-acrylonitrile
    adducts 194044-57-ODP, D 15A, reaction products with
    caprolactone-hydroxystearic acid copolymer
    RL: IMF (Industrial manufacture); TEM (Technical or engineered material
     use); PREP (Preparation); USES (Uses)
        (dispersants from polyalkylene polyamine-acrylonitrile
        adducts and polyesters for inks and coatings)
L36 ANSWER 9 OF 20 CAPLUS COPYRIGHT 2003 ACS
ACCESSION NUMBER:
                        1997:369595 CAPLUS
DOCUMENT NUMBER:
                        126:344538
TITLE:
                        Poly(allylamine) derivative dispersants for
                        pigments
                        Tanaka, Hiroyuki; Okayasu, Toshiaki; Sugiyama, Sae
INVENTOR(S):
PATENT ASSIGNEE(S):
                        Ajinomoto Co., Ltd., Japan
SOURCE:
                        Eur. Pat. Appl., 28 pp.
                         CODEN: EPXXDW
DOCUMENT TYPE:
                         Patent
LANGUAGE:
                         English
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:
     PATENT NO. KIND DATE
                                    APPLICATION NO. DATE
```

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19961015
                                           EP 1996-116509
                          19970416
                     A2
    EP 768321
                      A3 19981223
    EP 768321
                     B1 20010321
    EP 768321
        R: BE, DE, FR, GB, IT
                                           JP 1996-237036
                                                             19960906
                      A2 19970630
     JP 09169821
                                           US 1996-733137
                                                             19961016
                            19980602
                                        JP 1995-267486 A 19951016
JP 1996-237036 A 19960906
                       Α
    US 5760257
PRIORITY APPLN. INFO.:
                                        JP 1996-237036
```

Pigment dispersants with good compatibility with a wide variety of resins, useful in paints and inks, comprise X[CH2CH(CH2R1)]nY [R1 = a AΒ residue in which a free amino group or an amino group bound to a polyester, a polyamide or a copolycondensate of an ester and an amide by covalent bond (acid amide bond) formation or by salt (primary ammonium salt) formation through terminal carboxyl groups thereof, with .gtoreq.1 of an n-no. of R1's is a residue that has the covalent bond, n = 2-1000, X, Y = H, a polymn. initiator residue, or a chain transfer catalyst residue]. A typical dispersant was manufd. by reaction of a 10% aq. soln. of a poly(allylamine) having no.-av. mol. wt. 3000 with poly(12-hydroxystearate) having no.-av. mol. wt. 2550.

27941-02-2P, Poly(12-hydroxystearic acid), sru RL: IMF (Industrial manufacture); RCT (Reactant); PREP (Preparation); RACT (Reactant or reagent)

(dispersant precursor; poly(allylamine) deriv. dispersants for pigments in paints and inks)

Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME) RN CN

27941-02-2DP, reaction products with poly(allylamine) RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP ΙT (Preparation); USES (Uses) (poly(allylamine) deriv. dispersants for pigments in paints and inks) Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME) RN CN

ICM C08F008-00 IC

ICS C08G081-02; C09D007-00

42-6 (Coatings, Inks, and Related Products) CC

polyester polyallylamine adduct dispersant pigment; polyhydroxystearate polyallylamine adduct dispersant pigment; polyamide polyallylamine adduct dispersant pigment; paint ink pigment dispersant polyallylamine deriv

TT

Carbon black, uses RL: MOA (Modifier or additive use); USES (Uses) (Regal 400R, pigment; poly(allylamine) deriv. dispersants for

```
pigments in paints and inks)
    RL: IMF (Industrial manufacture); RCT (Reactant); PREP (Preparation); RACT
IT
     (Reactant or reagent)
        (dispersant precursor; poly(allylamine) deriv. dispersants
        for pigments in paints and inks)
     Dispersing agents
TT
       Inks
     Paints
     Pigments, nonbiological
        (poly(allylamine) deriv. dispersants for pigments in paints
        and inks)
     Polyesters, uses
ΙT
     RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP
     (Preparation); USES (Uses)
         (polyamide-, reaction products, with poly(allylamine); poly(
        allylamine) deriv. dispersants for pigments in paints and
         inks)
     Polyamides, uses
ΙT
     RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP
      (Preparation); USES (Uses)
         (polyester-, reaction products, with poly(allylamine); poly(
         allylamine) deriv. dispersants for pigments in paints and
         inks)
      RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP
 ΙT
      (Preparation); USES (Uses)
         (reaction products with poly(allylamine); poly(
         allylamine) deriv. dispersants for pigments in paints and
         inks)
      Polyamides, uses
 TΤ
      RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP
       (Preparation); USES (Uses)
          (reaction products, with poly(allylamine); poly(
          allylamine) deriv. dispersants for pigments in paints and
          inks)
       1047-16-1
       RL: MOA (Modifier or additive use); USES (Uses)
  ΤT
          (Cinquasia Red Y-RT 795D, pigment; poly(allylamine) deriv.
          dispersants for pigments in paints and inks)
       30551-89-4DP, Poly(allylamine), reaction products with
  ΙT
       RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP
       (Preparation); USES (Uses)
          (PAA 1C, PAA 1LV; poly(allylamine) deriv. dispersants for
          pigments in paints and inks)
       3049-71-6
       RL: MOA (Modifier or additive use); USES (Uses)
  TΤ
           (Paliogen L 3910HD, pigment; poly(allylamine) deriv.
          dispersants for pigments in paints and inks)
       24937-05-1P, Adipic acid-ethylene glycol copolymer, sru
                                                                  24938-37-2P,
       Adipic acid-ethylene glycol copolymer 27924-99-8P, Poly(12-
   ΙΤ
        hydroxystearic acid) 27941-02-2P, Poly(12-hydroxystearic acid),
              29437-19-2P, Adipic acid-ethylene glycol-
                                        32131-17-2P, preparation
        hexamethylenediamine copolymer
        61128-18-5P, .epsilon.-Caprolactone-glycolic acid copolymer
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103467-59-0P, .epsilon.-Caprolactone-12-hydroxystearic acid copolymer
    189625-24-9P, Adipic acid-ethylene glycol-12-hydroxystearic acid copolymer
    RL: IMF (Industrial manufacture); RCT (Reactant); PREP (Preparation); RACT
    (Reactant or reagent)
        (dispersant precursor; poly(allylamine) deriv. dispersants
        for pigments in paints and inks)
    65595-85-9P, Acrylic acid-butyl methacrylate-2-ethylhexyl
    methacrylate-2-hydroxyethyl methacrylate-methyl methacrylate-styrene
IT
     RL: IMF (Industrial manufacture); PRP (Properties); TEM (Technical or
     engineered material use); PREP (Preparation); USES (Uses)
        (paint binder; poly(allylamine) deriv. dispersants for
        pigments in paints and inks)
     RL: PRP (Properties); TEM (Technical or engineered material use); USES
IT
        (paint binder; poly(allylamine) deriv. dispersants for
     (Uses)
        pigments in paints and inks)
     13463-67-7, Tioxide TR92, uses
     RL: MOA (Modifier or additive use); USES (Uses)
        (pigment; poly(allylamine) deriv. dispersants for pigments in
        paints and inks)
     24937-05-1DP, reaction products with poly(allylamine)
                                                    27924-99-8DP,
     -2DP, reaction products with poly(allylamine)
ΙT
     reaction products with poly(allylamine) 27941-02-2DP,
                                              29437-19-2DP, reaction
      reaction products with poly(allylamine)
                                     32131-17-2DP, reaction products
      products with poly(allylamine)
      with poly(allylamine) 61128-18-5DP, reaction products with
      poly(allylamine) 103467-59-0DP, reaction products with poly(
                   189625-24-9DP, reaction products with poly(
      allylamine)
                    189625-26-1DP, reaction products with poly(
      allylamine)
      RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP
      (Preparation); USES (Uses)
         (poly(allylamine) deriv. dispersants for pigments in paints
         and inks)
 L36 ANSWER 10 OF 20 CAPLUS COPYRIGHT 2003 ACS
                          1994:56910 CAPLUS
 ACCESSION NUMBER:
                          120:56910
                          Pigment dispersants and offset printing ink
  DOCUMENT NUMBER:
  TITLE:
                          compositions
                           Iwase, Koji; Oota, Hiroshi
  INVENTOR(S):
                           Sakata Inks, Japan
  PATENT ASSIGNEE(S):
                           Jpn. Kokai Tokkyo Koho, 6 pp.
  SOURCE:
                           CODEN: JKXXAF
                           Patent
  DOCUMENT TYPE:
                           Japanese
  LANGUAGE:
  FAMILY ACC. NUM. COUNT: 1
  PATENT INFORMATION:
                                            APPLICATION NO. DATE
                      KIND DATE
       PATENT NO.
                       ____
                                                            19901228
                                            JP 1990-409276
                        A2 19931019
       JP 05271593
                        B2 19990607
                                                             19901228
       JP 2901352
                                          JP 1990-409276
       The title agents are prepd. by treating CO2H-contg. polyesters (acid value
   PRIORITY APPLN. INFO.:
       10-60) with polyalkyleneimines contg. 3-6 N at amino/carboxy
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equiv ratio 0.8-1.0. Offset printing inks with good
    printability comprise pigments, resins, org. solvents, and 0.1-100% (based
    on pigments) above dispersants. Thus, 150 parts poly(12-hydroxystearic
    acid) and 2.8 parts diethylenetriamine were heated at
    160-180.degree. for 3 h to give a polymer (amine value 5.0
    mgKOH/g, acid value 8.0 mgKOH/g), 5 parts of which was blended with
    phthalocyanine blue 50, alkyd resin 10, rosin-modified phenolic resin
    varnish 10, and solvents 25 parts to give a base ink with good
    storage stability. An offset printing ink using the base
    ink formed prints with good gloss.
    27941-02-2DP, Poly(12-hydroxystearic acid), sru, reaction products
ΙT
    with polyalkyleneimines
    RL: PREP (Preparation)
        (prepn. of, dispersants, for offset printing inks)
     Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME)
RN
CN
       ICM C09D011-02
TC
     ICS C09C003-10; C09D017-00
     42-12 (Coatings, Inks, and Related Products)
CC
     Section cross-reference(s): 46
     pigment dispersant polyester polyamine; offset printing
     ink pigment dispersant; storage stability printing ink
ST
     dispersant
         (polyamine-polyesters, for pigments, in offset printing
     Dispersing agents
 ΙT
      Fatty acids, polymers
 ΙT
      RL: USES (Uses)
         (castor-oil, polymers, reaction products with
         polyalkyleneimines, dispersants, for offset printing
         inks)
         (lithog., storage-stable, pigment-contg., dispersants for,
 TΤ
      Inks
         polyamine-polyesters as)
      Polyesters, compounds
 ΙT
          (reaction products, with polyalkyleneimines, dispersants, for
      RL: USES (Uses)
         offset printing inks)
      111-40-0DP, Diethylenetriamine, reaction products with
      poly(hydroxyalkanoates) 112-24-3DP, Triethylenetetramine,
  TΤ
                                                       112-57-2DP,
       reaction products with poly(hydroxyalkanoates)
       Tetraethylenepentamine, reaction products with
                                4067-16-7DP, Pentaethylenehexamine,
       poly(hydroxyalkanoates)
       reaction products with poly(hydroxyalkanoates)
       Poly(12-hydroxystearic acid), reaction products with
       polyalkyleneimines 27941-02-2DP, Poly(12-hydroxystearic
       acid), sru, reaction products with polyalkyleneimines
       RL: PREP (Preparation)
          (prepn. of, dispersants, for offset printing inks)
  L36 ANSWER 11 OF 20 CAPLUS COPYRIGHT 2003 ACS
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#### Page 24shosho457

1992:257452 CAPLUS ACCESSION NUMBER:

116:257452 DOCUMENT NUMBER:

Sulfonated pararosaniline pigment pastes TITLE:

Schneider, Manfred; Schunck, Rainer; Schnaitmann, INVENTOR(S):

Dieter; Brost, Udo

Hoechst A.-G., Germany Ger. Offen., 10 pp. PATENT ASSIGNEE(S): SOURCE:

CODEN: GWXXBX

Patent DOCUMENT TYPE: German LANGUAGE: FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
 DE 4114863	A1	19911114	DE 1991-4114863	19910507
DE 4114863 JP 05086303	C2 A2	19980910 19930406	JP 1991-104398	19910509
JP 2997331 US 5645636 PRIORITY APPLN. INFO.	B2 A :	20000111 19970708	DE 1990-4014953 A1	19941103 19900510 19910508
PRIORITI III 2211			05 1991 057100 -	19910000

MARPAT 116:257452 OTHER SOURCE(S):

GΙ

Pigment pastes with good flow and little thixotropy contain monosulfopararosanilines, alkyd resins with oil content 70-80%, AΒ hydrocarbon resins (mol. wt. 600-1700) or rosin esters, flushing aids prepd. by condensing amines or quaternary ammonium compds. with hydroxy acid polymers, and high-boiling mineral oils. The pararosaniline I was mixed (968 parts, as a 24.8% aq. filter cake) with 8.4 parts flushing aid prepd. from poly(12-hydroxystearic acid) (d.p. 6) and Me2N(CH2)3NH2 and 160 parts 1:1:1 alkyd resin-hydrocarbon resin-mineral oil mixt. and flushed very rapidly to give a paste which, after vacuum drying, contained >0.5% H2O.

Ι

27941-02-2D, 12-Hydroxystearic acid polymer, SRU, reaction IT products with dimethylpropanediamine

RL: USES (Uses)

(flushing aids, for pararosaniline pigment pastes)

Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME) RN CN

```
(CH<sub>2</sub>)<sub>5</sub>-Me
        O-CH-(CH2)10-C-
    ICS C09B011-10; C09B069-10; C09D017-00; C09D011-10
IC
     42-6 (Coatings, Inks, and Related Products)
    pararosaniline pigment paste manuf; flushing aid pigment paste; polyester
     aminated flushing aid; alkyl resin pigment paste; mineral oil pigment
CC
     paste; hydroxystearate polymer flushing aid
     Alkyd resins
ΙT
     Paraffin oils
     Petroleum resins
     RL: USES (Uses)
        (in pararosaniline pigment pastes)
         (pararosanilines, pastes, formulation and flushing of)
     Pigments
IT
     Amines, compounds
         (aliph., reaction products, with hydroxy acid polymers, flushing aids
TT
      RL: USES (Uses)
         for pararosaniline pigment pastes)
      Resin acids and Rosin acids
 TΥ
      RL: USES (Uses)
         (esters, in pararosaniline pigment pastes)
         (printing, pararosaniline pigment pastes for, formulation and flushing
      Inks
 TT
         of)
      Polyesters, compounds
 IT
          (reaction products, with amines, flushing aids for
      RL: USES (Uses)
         pararosaniline pigment pastes)
      Quaternary ammonium compounds, compounds
 TΤ
          (reaction products, with hydroxy acid polymers, flushing aids for
       RL: USES (Uses)
          pararosaniline pigment pastes)
       109-55-7D, N, N-Dimethyl-1, 3-propanediamine, reaction products
                                        27924-99-8D, 12-Hydroxystearic acid
  TΤ
       with poly(hydroxystearic acid)
       polymer, reaction products with dimethylpropanediamine
       27941-02-2D, 12-Hydroxystearic acid polymer, SRU, reaction
       products with dimethylpropanediamine
           (flushing aids, for pararosaniline pigment pastes)
       RL: USES (Uses)
                    76608-07-6
        6417-46-5
  TT
           (pigment pastes, formulation and flushing of)
        RL: USES (Uses)
                         CAPLUS COPYRIGHT 2003 ACS
  L36 ANSWER 12 OF 20
                             1992:175462 CAPLUS
   ACCESSION NUMBER:
                             Liquid colorant/additive concentrate for incorporation
   DOCUMENT NUMBER:
   TITLE:
                             into plastics
                             Burditt, Neil A.; Abrams, Richard L.
   INVENTOR(S):
                             Ferro Corp., USA
   PATENT ASSIGNEE(S):
                             PCT Int. Appl., 40 pp.
   SOURCE:
                             CODEN: PIXXD2
                             Patent
   DOCUMENT TYPE:
```

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PAT	TENT	NO.		KI	ND	DATE			А	PPLI	CATI	ON NC	ο.	DATE			
									_								
WO	9200	354		A	1	1992	0109		W	0 19	91-U	S440	6	1991	0621		
	W:	AT,	AU,	BB,	BG,	BR,	CA,	CH,	CS,	DE,	DK,	ES,	FI,	GB,	HU,	JP,	KP,
														SE,			
	RW:	AT,	BE,	BF,	ВJ,	CF,	CG,	CH,	CI,	CM,	DE,	DK,	ES,	FR,	GΑ,	GB,	GN,
		GR,	ΙT,	LU,	ML,	MR,	NL,	SE,	SN,	TD,	TG						
US	5157	067		Α		1992	1020		Ü	S 19	91-6	8603	3	1991	0416		
CA	2085	360		A.	A	1991	1228		C.	A 19	91-2	0853	60	1991	0621		
AU	9182	857		Α	1	1992	0123		A	U 19	91-8	2857		1991	0621		
EP	5363	03		A	1	1993	0414		Ε	P 19	91-9	1323	3	1991	0621		
	R:	AT,	BE,	CH,	DE,	DK,	ES,	FR,	GB,	GR,	IT,	LI,	LU,	NL			
US	5308	395		A		1994	0503		U	S 19	92-8	7835	8	1992	0604		
PRIORITY	Y APP	LN.	INFO	. :					US 1	990-	5453	50		1990	0627		
									US 1	991-	6860	33		1991	0416		
									WO 1	991-	US44	06		1991	0621		

AB A liq. conc., suitable for use in coloring or modifying plastics to reduce screw-slippage, H2O carry-over, and uneven flow, comprises (1) a vehicle of .gtoreq.15% of .gtoreq.1 org. rosin and .gtoreq.1 surfactant; and (2) .gtoreq.1 colorant or additive; the vehicle optionally has .gtoreq.1 org. diluent of 10 P viscosity. Thus, a liq. colorant conc. comprised CR-834 TiO2 70, Hypermer LP-1 1.5, and Hercoflex 500 28.8%. The conc. had viscosity of .apprx.300-500 P.

IT 27941-02-2

RL: USES (Uses)

(lig. concs. contg. colorant and rosin and, for plastics)

RN 27941-02-2 CAPLUS

CN Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME)

IT 9002-98-6D, reaction products with poly(hydroxystearic acid)
27941-02-2

RL: USES (Uses)

(liq. concs. contg. rosin and colorants and, for plastics)

RN 9002-98-6 CAPLUS

CN Aziridine, homopolymer (9CI) (CA INDEX NAME)

CM 1

CRN 151-56-4 CMF C2 H5 N



RN 27941-02-2 CAPLUS

KOROMA EIC1700

CN Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME)

```
(CH<sub>2</sub>)<sub>5</sub>-Me
       O-CH-(CH_2)10-C
    ICM C08L093-04
    37-6 (Plastics Manufacture and Processing)
IC
    coloring plastic conc rosin surfactant
CC
ST
     Plastics
IT
        (coloring of, liq. conc. for, surfactant-colorant-rosin compn. as)
     RL: USES (Uses)
        (improvement of, liq. concs. for, colorant-surfactant-rosin compns. as)
     Flow
TT
     Rosin
ΙT
         (liq. concs. contg. colorant and surfactant and, for plastics)
     RL: USES (Uses)
         (liq. concs. contg. rosin and colorant and, for plastics)
     Surfactants
TT
         (of plastic, liq. conc. for, rosin-surfactant-colorant compns. as)
     Coloring
ΙT
      27924-99-8 27941-02-2
 ΙT
         (liq. concs. contg. colorant and rosin and, for plastics)
      RL: USES (Uses)
      109-55-7D, N,N-Dimethyl-1,3-propanediamine, reaction products with
      hydroxystearic acid polymer 9002-98-6D, reaction products with
 IT
      poly(hydroxystearic acid) 27924-99-8D, reaction products with
      polyethyleneamine 27941-02-2
         (liq. concs. contg. rosin and colorants and, for plastics)
      RL: USES (Uses)
                                             140608-80-6
                 37361-17-4 116675-09-3
      1337-89-9
 IT
         (liq. concs. contg. surfactant and colorant and, for plastics)
      RL: USES (Uses)
       127-25-3
          (rosins contg. surfactant and colorant and, as liq. concs. for coloring
 TT
       RL: USES (Uses)
          plastics)
       514-10-3D, alkyl esters
  IT
          (rosins contg. surfactant and colorant and, for coloring plastics)
       RL: USES (Uses)
  L36 ANSWER 13 OF 20 CAPLUS COPYRIGHT 2003 ACS
                            1990:218955 CAPLUS
  ACCESSION NUMBER:
                            Pigment dispersing agents and their offset printing
  DOCUMENT NUMBER:
                            Ohta, Hiroshi; Matsukawa, Tsutomu; Nakada, Atsushi
  TITLE:
                            Sakata Inkusu K. K., Japan
  INVENTOR(S):
  PATENT ASSIGNEE(S):
                            Eur. Pat. Appl., 10 pp.
  SOURCE:
                            CODEN: EPXXDW
                            Patent
   DOCUMENT TYPE:
                            English
   LANGUAGE:
   FAMILY ACC. NUM. COUNT:
   PATENT INFORMATION:
                                              APPLICATION NO. DATE
                         KIND DATE
        PATENT NO.
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                                         EP 1989-305682 19890606
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    EP 346064 A2
                          19891213
    EP 346064 A3 19900214
EP 346064 B1 19921209
       R: DE, ES, FR, GB
                                                          19880609
                                         JP 1988-142681
    JP 01311177 A2 19891215
JP 08019351 B4 19960228
                                                         19890602
    US 5000792 A 19931216
ES 2043016 T3 19931216
                                         US 1989-360282
    JP 08019351
                                                           19890606
                                         ES 1989-305682
                                                           19880609
                                       JP 1988-142681
PRIORITY APPLN. INFO.:
    The title agents are prepd. by reacting CO2H-contg. polyesters with acid
OTHER SOURCE(S):
     value 10-60 and amines NH2R1N(R3)R2NH2 (I; R1, R2 = C2-6
     alkylene; R3 = Me, Et) with active H of the amines/CO2H 0.8-1.0
     mol. An ink contg. a reaction product of 11.5 g I (R1 = R2 = \frac{1}{2}
     CH2CH2CH2, R3 = Me) and 300 g poly(12-hydroxystearic acid) had good
     storage stability (25.degree., 1 mo) and was used in printing to give
     prints with good gloss.
     27941-02-2D, reaction products with di(aminoalkyl)
ΙT
     methylamines
     RL: USES (Uses)
         (dispersants, for offset printing inks)
     Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME)
 RN
 CN
     ICM B01F017-34
 ΙC
      ICS C09D017-00
      42-12 (Coatings, Inks, and Related Products)
      Section cross-reference(s): 46
      storage stability printing ink dispersant; polyhydroxystearic
       acid diamine coupling product; polyester tertiary amine
  ST
       contg dispersant
          (polyesters coupled with di(aminoalkyl)alkylamines as, for
       Dispersing agents
  IT
          offset printing inks)
       Fatty acids, polymers
          (castor-oil, hydroxy, polymers, reaction products, with bis(aminoalkyl)
  IT
       RL: USES (Uses)
          alkylamines, dispersants as, for inks)
       Polyesters, compounds
   TT
           (hydroxy-contg., reaction products, with bi(aminoalkyl)
       RL: USES (Uses)
           methylamines, dispersants as, for inks)
           (lithog., storage-stable, dispersants for, polyesters coupled with
   ΤТ
           bis(aminoalkyl)alkylamines as)
        77-78-1D, quaternizedammonium salts with bis(aminopropyl)
                                                             105-83-9D,
        methylamine-terminated poly(hydroxystearic acid)
   IT
        Bi(3-aminopropyl)methylamine, reaction products with
        hydroxy-contg. fatty acid polyesters 27924-99-8D, Poly(12-hydroxystearic
        acid), reaction products with di(aminoalkyl)methylamines
        27941-02-2D, reaction products with di(aminoalkyl)
```

127171-38-4D, Bi(4-aminobutyl)methylamine , reaction products with hydroxy-contg. fatty acid polyesters methylamines RL: USES (Uses) (dispersants, for offset printing inks) L36 ANSWER 14 OF 20 CAPLUS COPYRIGHT 2003 ACS 1988:475440 CAPLUS ACCESSION NUMBER: 109:75440 Polyester-polyamine dispersants for printing DOCUMENT NUMBER: TITLE: Kara, Yonosuke; Matsuyama, Asao; Kono, Michihiro; INVENTOR(S): Dainippon Ink and Chemicals, Inc., Japan; Kawamura Physical and Chemical Research Institute PATENT ASSIGNEE(S): Jpn. Kokai Tokkyo Koho, 11 pp. SOURCE: CODEN: JKXXAF Patent DOCUMENT TYPE: Japanese LANGUAGE: FAMILY ACC. NUM. COUNT: PATENT INFORMATION: APPLICATION NO. DATE KIND DATE -----PATENT NO. \_\_\_\_\_ ----- ----JP 1986-154190 19860702 JP 63012335 A2 19880119 JP 1986-154190 19860702 PRIORITY APPLN. INFO.: MARPAT 109:75440 OTHER SOURCE(S): For diagram(s), see printed CA Issue. The title dispersants, providing stable high-solids inks with GΙ good flowability, were prepd. by reacting carboxy-terminated polyesters AB with reaction products of epoxides I (R = H, alkyl, Ph, alkoxymethyl, alkenoxymethyl, PhOCH2, alkyl-substituted phenoxymethyl) or II (n = 3-10)and polyethylenimine. Thus, a mixt. of 60 g polyethylenimine and 150 g toluene was heated under reflux to obtain a soln., which was stirred with 5 g 1,2-butylene oxide for 2 h and refluxed with 113 g poly(12-hydroxystearic acid) (acid value 36.7 mg KOH/g) at 110-112.degree. for 2 h with removal of water to give a 49.7%-solids dispersant with acid value 12.5 mg KOH/g and amine value 96.1 mg KOH/g. C.I. Pigment Red 48-3 15, toluene 25, and the above dispersant 2 parts were dispersed using 100 parts steel balls in a paint shaker for 3 h to give a gravure ink with good flowability and

storability without sedimentation for >1 wk. 9002-98-6D, Polyethylenimine, reaction products with epoxides and polyesters 27941-02-2D, Poly(12-hydroxystearic ΙT acid), SRU, reaction products with polyethylenimine and epoxides RL: TEM (Technical or engineered material use); USES (Uses) (dispersants, for ink pigments)

9002-98-6 CAPLUS RN

Aziridine, homopolymer (9CI) (CA INDEX NAME) CN

> 1 CM

CRN 151-56-4 CMF C2 H5 N

H

```
Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME)
RN
CN
        -O-CH-(CH2)10-C-
     ICM B01F017-52
     ICS B01F017-42; B01J013-00; C08G063-76; C09D007-12
     42-12 (Coatings, Inks, and Related Products)
     ink pigment dispersion epoxide; polyester ink pigment
CC
     dispersant; polyethylenimine ink pigment dispersant;
 ST
     printing ink dispersant polyester polyamine
         (dispersants for, polyester-epoxide-polyethylenimine reaction
      Pigments
 TΨ
         products as)
         (epoxide-polyethylenimine-polyester reaction products, for
      Dispersing agents
 ΙT
         pigments for inks)
         (pigment dispersants for, polyester-epoxide-polyethylenimine
      Inks
 IT
         reaction products as)
      Carbon black, uses and miscellaneous
      RL: TEM (Technical or engineered material use); USES (Uses)
 ΤТ
          (pigments, for inks, dispersants for)
       RL: TEM (Technical or engineered material use); USES (Uses)
  IT
          (reaction products with epoxides and polyethylenimine,
          dispersants, for ink pigments)
       RL: TEM (Technical or engineered material use); USES (Uses)
       Polyamines
  IT
          (reaction products with epoxy resins and polyesters, dispersants, for
          pigments for inks)
       RL: TEM (Technical or engineered material use); USES (Uses)
       Epoxides
  ΙT
          (reaction products with polyethylenimine and polyesters,
          dispersants, for pigments for inks)
       Polyesters, uses and miscellaneous
       RL: TEM (Technical or engineered material use); USES (Uses)
  ΙT
           (polyamine-, dispersants, for ink pigments)
        RL: TEM (Technical or engineered material use); USES (Uses)
   IT
           (polyester-, dispersants, for ink pigments)
        75-56-9D, reaction products with polyethylenimine and polyesters
        96-09-3D, Styryl oxide, reaction products with polyethylenimine
   TT
                        106-88-7D, 1,2-Butylene oxide, reaction products with
                                         106-92-3D, Allyl glycidyl ether,
        and polyesters
        polyethylenimine and polyesters
        reaction products with polyethylenimine and polyesters
        122-60-1D, Phenyl glycidyl ether, reaction products with
        polyethylenimine and polyesters 286-20-4D, Cyclohexane oxide,
        reaction products with polyethylenimine and polyesters
        2426-08-6D, Butyl glycidyl ether, reaction products with
                                          3101-60-8D, 4-tert-Butylphenyl
        polyethylenimine and polyesters
        glycidyl ether, reaction products with polyethylenimine and
        polyesters 9002-98-6D, Polyethylenimine, reaction
```

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Page 31shosho457
    products with epoxides and polyesters 27924-99-8D, Poly(12-
    hydroxystearic acid), reaction products with polyethylenimine
    and epoxides 27941-02-2D, Poly(12-hydroxystearic acid), SRU,
     reaction products with polyethylenimine and epoxides
     67557-76-0, 4-sec-Butylphenyl glycidyl ether
     RL: TEM (Technical or engineered material use); USES (Uses)
     (dispersants, for ink pigments) 25086-48-0, Vinylite VAGH
     RL: TEM (Technical or engineered material use); USES (Uses)
ΙT
        (inks, pigment dispersants for)
                                      1309-37-1, Red iron oxide, uses and
     miscellaneous 1328-53-6, C.I. Pigment Green 7 5280-68-2, C.I. Pigment
     Red 146 5468-75-7, C.I. Pigment Yellow 14 5858-81-1, C.I. Pigment Red
TT
          7023-61-2, C.I. Pigment Red 48-2 7585-41-3, C.I. Pigment Red 48-1
     12225-04-6, C.I. Pigment Red 166 12769-01-6, C.I. Pigment Yellow 109
     13463-67-7, Titanium dioxide, uses and miscellaneous 15782-05-5, C.I.
     Pigment Red 48-3 56396-10-2, C.I. Pigment Red 150 76168-74-6, C.I.
      RL: TEM (Technical or engineered material use); USES (Uses)
      Pigment Orange 61
         (pigments, for inks, dispersants for)
 L36 ANSWER 15 OF 20 CAPLUS COPYRIGHT 2003 ACS
 ACCESSION NUMBER: 1987:409044 CAPLUS
                         Metal-containing polymeric dispersing agent
                          107:9044
 DOCUMENT NUMBER:
                         Canestri, Giuseppe
 TITLE:
                         Bergvik Kemi AB, Swed.
  INVENTOR(S):
  PATENT ASSIGNEE(S):
                          Eur. Pat. Appl., 25 pp.
  SOURCE:
                          CODEN: EPXXDW
                          Patent
  DOCUMENT TYPE:
                          English
  LANGUAGE:
  FAMILY ACC. NUM. COUNT: 1
  PATENT INFORMATION:
                                           APPLICATION NO. DATE
                    KIND DATE
                                            -----
       PATENT NO.
       _____
                                           EP 1986-850197 19860604
       EP 207026 A2 19861230
       EP 207026 A3 19871028
EP 207026 B1 19900321
                       A3 19871028
          R: DE, FR, GB, IT, SE
                                                            19850611
       JP 61285266 A2 19861216 JP 1985-125279
                                            US 1986-871388 19860606
                        B4 19891221
A 19900626
        JP 01060184
                                                             19850611
                                         JP 1985-125279
       The compns. (XCONRYCO2)2 A (A = residue of a divalent metal hydroxide or
        US 4937014
   PRIORITY APPLN. INFO.:
        ester; XCO = residue of a polyester with mol. wt. .gtoreq.1000, NRYCO =
        residue of an arom. or aliph. amino acid) are dispersants for dyes and
        pigments, esp. in printing inks. Heating 201 g
        11-aminoundecanoic acid and 102 g Ac20 at 100.degree. gave an amide which
        was heated with 200 g Cu(OAc)2.cntdot.H2O at 130.degree. with distn. of
        AcOH and H2O and then with 6200 g poly(12-hydroxystearic acid) (CO2H
        equiv. wt. 2600) at 150.degree./10 min to give a dispersant with acid no.
        20-25. Dispersing this product 12, Cu sulfophthalocyanine 5, Duomeen T 2,
         Pigment Blue-15.3 58, and mineral oil 27 parts gave a deflocculated
         dispersion suitable for use in paints, lacquers, and printing inks
```

27941-02-2D, Poly(12-hydroxystearic acid), SRU, reaction products with amino acids, metal salts RL: USES (Uses)

(dispersants, for pigments in printing inks and coatings) Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME) RN CN

ICM B01F017-00 IC

ICS C08G069-44; C09B067-00

42-12 (Coatings, Inks, and Related Products) CC

Section cross-reference(s): 35, 46

dispersant pigment ink coating; printing ink pigment dispersant; polyester dispersant pigment; hydroxystearate polyester ST dispersant; aminoundecanoic acid adduct dispersant; copper salt polymer dispersant

Pigments TT

(dispersants for, in printing inks and coatings, polyester-amino acid reaction product metal salts as)

Dispersing agents IT

(polyester-amino acid reaction product metal salts, for pigments in printing inks and coatings)

Polyesters, compounds ΙT

RL: USES (Uses)

(reaction products with amino acids, metal salts, dispersants, for pigments in coatings and printing inks)

Amino acids, compounds ΙT

RL: USES (Uses)

(reaction products with polyesters, metal salts, dispersants, for pigments in printing inks and coatings)

ΙT Inks

(printing, pigment dispersants for, polyester-amino acid reaction product metal salts as)

Amines, compounds IT

RL: USES (Uses)

(N-tallow alkyltrimethylenedi-, reaction products, with polyesters and amino acids, metal salts, dispersants, for pigments in printing

56-89-3D, Cystine, reaction products with polyesters, metal salts 85-44-9D, reaction products with phthaloalkylpropanediamines and IT polyesters, metal salts 124-09-4D, 1,6-Hexanediamine, reaction products with polyesters and amino acids, metal salts 515-94-6D, 2,3-Diaminopropionic acid, reaction products with polyesters, metal salts 660-88-8D, 5-Aminopentanoic acid, reaction products with polyesters, metal 1120-12-3D, 9-Aminopelargonic acid, reaction products with 7429-90-5D, Aluminum, salts with polyester-amino salts 7439-95-4D, Magnesium, salts with polyester-amino polyesters, metal salts 7440-02-0D, Nickel, salts with polyester-amino acid reaction products 7440-50-8D, Copper, salts with polyester-amino acid reaction products 7440-66-6D, Zinc, salts with polyester-amino acid acid reaction products 7440-70-2D, Calcium, salts with polyester-amino acid acid reaction products 27924-99-8D, Poly(12-hydroxystearic acid), reaction reaction products reaction products products with amino acids, metal salts 27941-02-2D, Poly(12-hydroxystearic acid), SRU, reaction products with amino acids, metal salts 106738-27-6D, reaction products with polyesters, metal salts

RL: USES (Uses)

(dispersants, for pigments in printing inks and coatings)

L36 ANSWER 16 OF 20 CAPLUS COPYRIGHT 2003 ACS

ACCESSION NUMBER:

1986:52208 CAPLUS

DOCUMENT NUMBER:

104:52208

TITLE:

Polymeric dispersants Canesttori, G., Italy

PATENT ASSIGNEE(S):

Jpn. Kokai Tokkyo Koho, 11 pp.

SOURCE: CODEN: JKXXAF

DOCUMENT TYPE:

Patent

LANGUAGE:

Japanese

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

WI THE OTHERS			CRETON NO	DATE
PATENT NO.	KIND	DATE	APPLICATION NO.	
			JP 1984-1427	19840110
JP 60147224	A2	19850803	JP 1984-1427	13010
JP 01016529	B4	19890324	EP 1985-850145	19850426
EP 198994	A1	19861029	Eb 1900 000110	
ED 198994	В1	19881102		
R: DE, FR,	GB, IT	, NL, SE	тр 1984-1427	19840110

DE, FR,

19840110 JP 1984-1427

Dispersants for solid particles in org. solvents, useful in manuf. of PRIORITY APPLN. INFO.: lithog. printing inks, are prepd. by modifying polymers (mol. wt. .gtoreq.1000) with triazinediamines in the presence of epoxides. Thus, 187.2 g benzoguanamine in 200 g 9:1 PhMe-dichloropropane was heated with 372 g ethylhexyl glycidyl ether at 140.degree. and then with 4854 g poly(12-hydroxystearic acid) (acid no. 23.1 mg KOH/g) and 36 g p-MeC6H4SO3H at 170.degree. to give a dispersant.

Mixing 10 parts dispersant and 50 parts pigment for 30 min gave a

27941-02-2D, reaction products with epoxides and diaminotriazines ΙT RL: USES (Uses)

(dispersants, for pigments in solvents)

Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME) RN CN

ICM B01F017-52 IC

ICS B01J013-00; C08G063-70; C08G073-06; C09D011-10

42-12 (Coatings, Inks, and Related Products) CC

Section cross-reference(s): 46

ethylhexyl glycidyl ether dispersant; benzoguanamine adduct dispersant; printing ink pigment dispersant; glycidyl ether STadduct dispersant; hydroxystearic acid polymer dispersant ΙT

(dispersants for, in solvents, triazinediamine Pigments -epoxide-polyester reaction products as)

IT

RL: USES (Uses)

(reaction products with diaminotriazines and polyesters, dispersants for pigments in solvents)

Polyesters, compounds ΙT

```
RL: USES (Uses)
        (reaction products with epoxides and diaminotriazines, dispersants for
        pigments in solvents)
TT
     Dispersing agents
        (triazinediamine-epoxide-polyester reaction products, for
        pigments in solvents)
ΙT
     Rubber, butadiene, compounds
     RL: USES (Uses)
        (carboxy-terminated, reaction products with epoxides and
        diaminotriazines, dispersants for pigments in solvents)
IΤ
        (printing, pigment dispersants for, triazinediamine
        -epoxide-polyester reaction products as)
     75-56-9D, reaction products with triazinediamines and polyesters
TT
     91-76-9D, reaction products with epoxides and polyesters 106-88-7D,
     reaction products with triazinediamines and polyesters
     106-92-3D, reaction products with triazinediamines and
     polyesters 122-60-1D, reaction products with triazinediamines
                    542-02-9D, reaction products with epoxides and polyesters
     and polyesters
     2426-08-6D, reaction products with triazinediamines and
     polyesters 2461-15-6D, reaction products with triazinediamines
     and polyesters 27924-99-8D, reaction products with epoxides and diaminotriazines 27925-02-6D, reaction products with epoxides and
     diaminotriazines 27941-02-2D, reaction products with epoxides
     and diaminotriazines 27941-05-5D, reaction products with epoxides and
     diaminotriazines
     RL: USES (Uses)
        (dispersants, for pigments in solvents)
L36 ANSWER 17 OF 20 CAPLUS COPYRIGHT 2003 ACS
ACCESSION NUMBER:
                         1984:193630 CAPLUS
DOCUMENT NUMBER:
                         100:193630
TITLE:
                         Phthalocyanine pigments
PATENT ASSIGNEE(S):
                         Dainippon Ink and Chemicals, Inc., Japan
                         Jpn. Kokai Tokkyo Koho, 7 pp.
SOURCE:
                         CODEN: JKXXAF
DOCUMENT TYPE:
                         Patent
                         Japanese
LANGUAGE:
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:
     PATENT NO.
                    KIND DATE
                                         APPLICATION NO. DATE
                                         -----
     _____
                     ____
     JP 58168661 A2 19831005
JP 01030867 B4 19890622
                                      JP 1982-51181 19820331
                     B4 19890622
     JP 01030867
PRIORITY APPLN. INFO.:
                                        JP 1982-51181
                                                          19820331
     Phthalocyanine pigment is prepd. by grinding a crude phthalocyanine in an
     aq. medium contg. a phthalocyanine deriv. and a polyester chain-contg.
     compd. as the dispersing agents. The pigment has a clear color and is
     prepd. in a short time. Thus, 100 parts crude phthalocyanine blue
     [147-14-8], 4 parts CuPc(CH2NPr2)3 [89933-93-7] (Pc = phthalocyanine), 10
     parts reaction product of 3-(dimethylamino)propylamine and
     poly(12-hydroxystearic acid), and 500 parts water were milled to give the
     pigment.
     9002-98-6D, reaction products with hydroxystearic acid polyester
     27941-02-2D, reaction products with amines
     RL: USES (Uses)
```

(dispersion agents, copper phthalocyanine pigment conditioning in

presence of)

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Page 35shosho457
     9002-98-6 CAPLUS
     Aziridine, homopolymer (9CI) (CA INDEX NAME)
RN
CN
     CM
     CRN 151-56-4
     CMF C2 H5 N
      Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME)
 RN
 CN
           (CH<sub>2</sub>)<sub>5</sub>-Me
         -O-CH-(CH<sub>2</sub>)<sub>10</sub>-C-
      C09B067-20
      42-6 (Coatings, Inks, and Related Products)
 TC
 CC
      Section cross-reference(s): 41
      phthalocyanine pigment conditioning; copper phthalocyanine pigment
      conditioning; polyester dispersant phthalocyanine pigment; amine polyester
 ST
      dispersant phthalocyanine
          (amine-polyester reaction products and copper phthalocyanine derivs.,
       Dispersing agents
  IT
          phthalocyanine pigment conditioning in presence of)
       Polyesters, compounds
  IT
          (reaction products with amines, dispersing agents, phthalocyanine
       RL: USES (Uses)
          pigment conditioning in presence of)
                                                       502-44-3D, reaction
       109-55-7D, reaction products with polyesters
       products with (dimethylamino)propylamine 9002-98-6D, reaction
  IT
                                                                    27924-99-8D,
       products with hydroxystearic acid polyester 26854-10-4
       reaction products with amines 27941-02-2D, reaction products
                                               89933-90-4 89933-91-5
                     36354-98-0 41638-59-9
       with amines
                                  90032-30-7
                     89933-93-7
       89933-92-6
           (dispersion agents, copper phthalocyanine pigment conditioning in
       RL: USES (Uses)
           presence of)
        147-14-8
  IT
        RL: USES (Uses)
           (pigment, conditioning of)
   L36 ANSWER 18 OF 20 CAPLUS COPYRIGHT 2003 ACS
                            1979:441059 CAPLUS
   ACCESSION NUMBER:
                             91:41059
                             Dispersants and their use in paints and inks
   DOCUMENT NUMBER:
                             Imperial Chemical Industries Ltd., UK
   PATENT ASSIGNEE(S):
                             Neth. Appl., 8 pp.
   SOURCE:
                             CODEN: NAXXAN
                             Patent
   DOCUMENT TYPE:
                             Dutch
   LANGUAGE:
```

FAMILY ACC. NUM. COUNT: 2 PATENT INFORMATION:

PATEN	T INFORMATION:				
	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
		7)	19790117	NL 1978-7584	19780714
	NL 7807584	A B	19890417		
	NL 184624	С	19890918		
	NL 184624	A	19800923	US 1978-919831	19780628
	US 4224212	A	19790124	GB 1978-28259	19780629
	GB 2001083	B2	19820630		
	GB 2001083	A1	19800110	AU 1978-37802	19780706
	AU 7837802	B2	19811022		
	AU 518818	A1	19790110	BE 1978-189199	19780710
	BE 868890	A1	19820202	CA 1978-307181	19780711
	CA 1117689	A1	19790209	FR 1978-21061	19780713
	FR 2397226	B1	19850906		
	FR 2397226	A2	19790319	JP 1978-84640	19780713
	JP 54037082	B4			
	JP 63030057	A A	19790116	DK 1978-3172	19780714
	DK 7803172	В	19880321		
	DK 152564	C	19881010		
	DK 152564	A	19831230	CH 1978-7665	19780714
	CH 640150			4077 20002	19770715
PRIC	ORITY APPLN. INFO	).: .+a 116	eful for for	: diamoreions of	dyes and pigments in leneimines)
AΒ	Dispersing ager	ics, us	f reaction R	products of poly(alky	leneimines)
					lts or amides,
	depending on re	eaction	101 wt 120	0 and 133.2 parts	
	polyethylenimi	retorri	c acid) wit	0 and 133.2 parts h acid no. 35.0 mg KC nsol., toluene-sol. c	OH/g were heated 2 II
	poly(12-nydrox)	to div	re a water-i	h acid no. 35.0 mg ko nsol., toluene-sol. G igment dispersion sui	dispersant (1) With
	at 150.degree.	LO GIV	a lia. p	nsol., toluene-sol. C igment dispersion sui ed by ball-milling	table for use in
	acid no. 5.2 m	g NOR/S	, was obtain	ed by ball-milling	7 /h
	gravure printi	a Cunt	thalocvanin	ed by ball-milling e 3, I 0.9, and petro h. The dispersants	oleum fraction (b.
	polychlorinate	u Cu pi	parts for 16	e 3, 1 0.9, and petro h. The dispersants	were also useful in
	textile printi	na snd	dveing comp	ns.	
					tg. polyesters
ΙT	9002-98-6D, re	accion	n products W	ith polyethylenimine	
	27941-02-20, 1	Vaccio:	ii produces	• -	
	RL: USES (Uses	agont	e for dves	and pigments in org.	liqs.)
	(dispersing	agenc	3, 101 01-0	- 1	
RN	9002-98-6 CAP	2001 rm	or (9CT) (C	CA INDEX NAME)	
CN	Aziridine, hom	юротуш	er (bor) (		
	a 1				
	CM 1				
	CRN 151-56-4				
	CRN 151-56-4 CMF C2 H5 N				
	CMF CZ 115 IV				

H N

RN 27941-02-2 CAPLUS CN Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME)

```
O-CH-(CH2)10-C
    C08G081-00; B01F017-00; C08G063-76
    42-12 (Coatings, Inks, and Related Products)
IC
CC
     Section cross-reference(s): 39, 40
    polyester polyethylenimine adduct dispersant;
     polyhydroxystearate polyethylenimine adduct; pigment ink
ST
     dispersant; dye dispersant; printing ink dispersant
        (carboxylated polyester-polyalkylenimine reaction products,
     Dispersing agents
IT
        for dyes and pigments in org. liqs.)
        (dispersing agents for dyes and pigments in, carboxylated polyester-
     Textile printing
TT
        polyalkylenimine reaction products as)
         (dispersing agents for pigments in, carboxylated polyester-
ΙT
        polyalkylenimine reaction products as)
     Dyes
IΤ
         (dispersing agents for, carboxylated polyester-polyalkylenimine
     Pigments
         reaction products as)
      Polyesters, uses and miscellaneous
 IT
         (carboxy group-contg., reaction products with polyethylenimine
      RL: USES (Uses)
         , dispersing agents, for dyes and pigments, in org. liqs.)
      9002-98-6D, reaction products with carboxy group-contg. polyesters
      27924-99-8D, reaction products with polyethylenimine
 ΙT
      27925-02-6D, reaction products with polyethylenimine
      27941-02-2D, reaction products with polyethylenimine
      27941-05-5D, reaction products with polyethylenimine
      RL: USES (Uses)
          (dispersing agents, for dyes and pigments in org. liqs.)
 L36 ANSWER 19 OF 20 CAPLUS COPYRIGHT 2003 ACS
                           1979:139207 CAPLUS
 ACCESSION NUMBER:
                           Dispersing agent, dispersions containing it, and
 DOCUMENT NUMBER:
                           dispersions-containing pigments and printing
 TITLE:
                           inks
                           Topham, Arthur
                           Imperial Chemical Industries Ltd., UK
  INVENTOR(S):
  PATENT ASSIGNEE(S):
                           Ger. Offen., 25 pp.
  SOURCE:
                           CODEN: GWXXBX
                           Patent
  DOCUMENT TYPE:
                           German
  LANGUAGE:
  FAMILY ACC. NUM. COUNT:
  PATENT INFORMATION:
                                                               DATE
                                              APPLICATION NO
                         KIND DATE
        PATENT NO
```

PATENT NO.	KIND	DATE  19790201	APPLICATION NO.  DE 1978-2830860	
DE 2830860 DE 2830860 US 4224212 GB 2001083	C2 A A	19881229 19800923 19790124	US 1978-919831 GB 1978-28259	19780628 19780629

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Page 38shosho457
                            19820630
                                                             19780706
                       В2
     GB 2001083
                                           AU 1978-37802
                            19800110
                      Α1
     AU 7837802
                            19811022
                                                             19780710
                       В2
                                            BE 1978-189199
     AU 518818
                      A1
                            19790110
                                                             19780711
                                            CA 1978-307181
     BE 868890
                            19820202
                       A1
                                                             19780713
                                            FR 1978-21061
     CA 1117689
                            19790209
                       A1
     FR 2397226
                            19850906
                       В1
                                                             19780713
     FR 2397226
                                            JP 1978-84640
                            19790319
                       A2
     JP 54037082
                           19880616
                       В4
                                                             19780714
     JP 63030057
                                            DK 1978-3172
                            19790116
                       Α
     DK 7803172
                             19880321
                       В
     DK 152564
                             19881010
                                                              19780714
                       С
      DK 152564
                                            CH 1978-7665
                             19831230
                                                             19770715
                        Α
                                         GB 1977-29803
      CH 640150
      Reaction products of .gtoreq.2 mol CO2H-contg. polyester with 1 mol
 PRIORITY APPLN. INFO.:
      polyalkylenimine are dispersing agents for pigments in printing
      inks. Thus, stirring 50 parts polyethylenimine (PEI 12,
      mol. wt. 1200) and 133.2 parts 12-hydroxystearic acid polymer (acid no.
      35.0 \text{ mg KOH/g}) 2 h at 150.\text{degree.} with H2O-\text{distn.} gives a product with
      acid no. 5.2. Ball-milling a mixt. of this product 0.9, chlorinated Cu
      phthalocyanine 3, and petroleum fraction (b. 100-20.degree.) 6.1 parts
      gives a mobile dispersion suitable for use in gravure inks.
      9002-98-6D, reaction products with carboxylated polyesters
      27941-02-2D, reaction products with polyethylenimine
 ΙT
          (dispersing agents, for pigments in printing inks)
      RL: USES (Uses)
       Aziridine, homopolymer (9CI) (CA INDEX NAME)
       9002-98-6 CAPLUS
  RN
  CN
       CM
       CRN 151-56-4
       CMF C2 H5 N
        Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME)
   RN
   CN
            O-CH-(CH2)10-C-
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42-12 (Coatings, Inks, and Related Products)
IC
     pigment printing ink dispersant; dispersing agent pigment
     ink; hydroxystearic acid polymer dispersant;
     polyethylenimine condensate polyester dispersant
        (dispersing agents for, in printing inks, polyester-
     Pigments
ΙΤ
        polyethylenimine reaction products as)
     Dispersing agents
ΙT
```

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(polyester-polyethylenimine reaction products, for pigments
       in printing inks)
    Polyesters, compounds
       (reaction products with polyethylenimine, dispersants, for
IT
    RL: USES (Uses)
       pigments in printing inks)
     Fatty acids, polymers
       (castor-oil, polymers, reaction products with polyethylenimine
IT
     RL: USES (Uses)
        , dispersants for pigments in printing inks)
        (printing, pigments in, dispersants for)
     Inks
ΙT
     9002-98-6D, reaction products with carboxylated polyesters
     27924-99-8D, reaction products with polyethylenimine
     27941-02-2D, reaction products with polyethylenimine
         (dispersing agents, for pigments in printing inks)
      RL: USES (Uses)
 L36 ANSWER 20 OF 20 CAPLUS COPYRIGHT 2003 ACS
 ACCESSION NUMBER: 1977:31081 CAPLUS
 DOCUMENT NUMBER:
                        Dispersing agents
                         Stansfield, James F.; Topham, Arthur
                       Imperial Chemical Industries Ltd., UK
 TITLE:
 INVENTOR(S):
 PATENT ASSIGNEE(S):
                          U.S., 7 pp.
                          CODEN: USXXAM
 SOURCE:
                          Patent
  DOCUMENT TYPE:
                          English
  LANGUAGE:
  FAMILY ACC. NUM. COUNT: 2
  PATENT INFORMATION:
                                          APPLICATION NO. DATE
       PATENT NO. KIND DATE
                                           ------
                                         US 1974-536479 19741226
                                         GB 1971-60731 19711230
       US 3996059 A
                             19761207
                                         US 1972-313632 19721211
GB 1974-53946 19741213
  PRIORITY APPLN. INFO.:
       Readily-dispersible pigment prepns. contain 5-70% pigment and 5-50%
       dispersant which is an amine or quaternary ammonium deriv. of a
       C .gtoreq.8 hydroxyalkanoic acid polymer. Thus, heating 1600 parts
   AΒ
       12-hydroxystearic acid polymer [27924-99-8] (acid no. 35.0 mg KOH/g) and
        102 parts Me2N(CH2)3NH2 [109-55-7] 2.5 h at 160.degree. and 2.75 h at
        190-200.degree. gives an amide (I), tertiary amine content 0.557
        equiv./kg, acid no. 12.3. Ball-milling I 1.3, Pb sulfochromate 3, Cu
        phthalocyaninedisulfonate 0.2, and petroleum fraction (b. 100-20.degree.)
        5.5 parts 16 h give a well-deflocculated dispersion suitable for paints
        and printing inks.
        27941-02-2D, aminoamide derivs.
   TT
        RL: USES (Uses)
            (dispersants, for pigments)
        Poly[oxy(1-hexyl-12-oxo-1,12-dodecanediyl)] (9CI) (CA INDEX NAME)
    RN
    CN
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C09C003-00
IC
    pigment dispersion agent; polyester amide dispersant; hydroxystearic acid
    106308000N
NCL
CC
     polymer dispersant; stearic acid hydroxy polyester
ST
     Quaternary ammonium compounds, polymers
IT
     RL: USES (Uses)
        (dispersants, for pigments)
         (dispersing agents for, polyesters amides as)
     Pigments
ΙT
         (hydroxyalkanoic acid polymer amides, for pigments)
      Dispersing agents
 ΙT
      Amides, uses and miscellaneous
         (of hydroxyalkanoic acid polymers, dispersants, for pigments)
 IT
      RL: USES (Uses)
      Polyesters, compounds
 ΙT
         (reaction products with diamines, dispersants, for
      RL: USES (Uses)
      100-37-8D, reaction products with 12-hydroxystearic acid polymer and
                         106-89-8D, reaction products with N,N-
 IT
      dimethyldodecylamine and 12 hydroxystrearic acid polymer
      dimethyl sulfate
      109-55-7D, reaction products with polyesters 112-18-5D, reaction
       products with 12-hydroxystearic acid polymer and epichlorohydrogen
       4253-76-3D, reaction products with polyesters 27924-99-8D, aminoamide
                 27925-02-6D, aminoamide derivs. 27941-02-2D,
       derivs.
       aminoamide derivs.
       RL: USES (Uses)
          (dispersants, for pigments)
```